

1 **Review Article**

2 **A Review of Plastics Use in Winemaking: HACCP Considerations**

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11 **Abstract:** Use of plastics is ubiquitous in food and beverage industries, with expanding usage in
12 wine production. Common plastic additives, used to modify and improve applicability and
13 durability of plastics, include phthalate plasticizers and bisphenols. Phthalates are used in many
14 products from polyvinyl chloride (PVC), lubricants, and emulsifying agents. Bisphenols such as
15 bisphenol A (BPA) and related BPA non-intent (BPA-NI) alternatives are used to harden plastics
16 and are commonly used in polycarbonate plastics and epoxy coatings. Migration of bisphenols
17 and plasticizers into wine from plastic containers and closures has been studied through the
18 utilization of analytical tools such as GC-MS and LC-MS. Foodstuffs can become contaminated
19 with plastic additives through food-contact processing and packaging materials, leading to
20 environmental and human health concerns. This work reviews current food product use and
21 regulations regarding plastic additives and potential leachates, particularly in wines, hazard
22 analysis and critical control points (HACCP) approaches, alternative plasticizers, and bio-based
23 plastics.
24

25 **Key words:** bisphenol, epoxy, leachate, packaging, phthalate, plasticizer

26 **1 Introduction**

27 Due to their malleability, versatility, and low cost, plastics have become ubiquitous in
28 present day products. Food stuffs may contact plastics through many pathways including food
29 packaging, long-term product storage, and food transportation. Plastics are increasingly used in
30 wine processing and packaging materials. Annually, about 8% of the world's oil production goes
31 toward producing the approximately 250 million t_m of plastics used globally, of which roughly
32 30% of plastics are used for packaging (Robertson 2013). Plastics are considered to be
33 biochemically inert and unable to penetrate through cell membranes because of their large
34 molecular size, preventing them from interacting with the endocrine system. Nevertheless,
35 additives, unreacted feedstock monomers or oligomers of the component plastics, or non-
36 intentionally added substances (NIAS), which could include plastic degradation products and
37 other potential chemical side reactions from the manufacturing process, could potentially migrate
38 into the wine, may have biological consequences (Paseiro-Cerrato et al. 2017, Teuten et al.
39 2009), and may pose a food safety risk or otherwise be of concern to the quality or marketability
40 of wine.

41 The goal of food safety practices is to limit the presence of food-borne hazards in food at the
42 point of consumption. Food safety hazards are usually the result of physical, chemical, or
43 biological factors. Since food safety hazards can occur at any stage in the food chain it is
44 essential that adequate controls be in place. Hazard and Critical Control Points (HACCP) and
45 quality assurance systems like the International Standardization Organization (ISO) 9000 series,
46 and its food safety derivative ISO 22000 have been developed to prevent food safety risks and
47 consequently provide a competitive advantage to producers that implement such systems (ISO

48 2005). The purpose of this review is to point out potential plastics related hazards in wine that
49 could be addressed by HACCP principles. Scalping, sorption, permeation and effects on product
50 quality by plastic additives and wine are not the focus of this work and will be expanded upon in
51 a follow-up review.

52 2 Review of Plastics

53 Plastics are synthetic or semi-synthetic polymers made from a wide range of moldable
54 organic polymers set into a rigid or semi-elastic solid. The geometric structure, including
55 conformation, configuration, and branching of polymeric chains, and degree of crosslinking with
56 itself or other molecules determines the physical and chemical properties of the plastic.
57 Properties of polymers, including density, thickness, and transition temperatures are determined
58 by their molecular composition and structure, molecular weight, and degree of crystallinity,
59 which affects optical transparency in plastics (Krimm and Tobolsky 1951, Robertson 2013).
60 Molecular orientation of polymer chains determines whether plastics are amorphous or semi-
61 crystalline (Robertson 2013, White and Spruiell 1981). Amorphous polymer chains are
62 disordered, have no melting point, and gradually soften with increasing temperature. Examples
63 of amorphous polymer chains include polystyrene (PS) and polyvinyl chloride (PVC). In
64 contrast, semi-crystalline polymers usually exhibit distinct phase transition temperatures: a sharp
65 melting and glass transition temperature (T_g) such as polyethylene (PE) and polypropylene (PP).

66 Plastic polymers can be divided into three categories: thermoplastics, thermosets, and
67 elastomers (Klein 2011). Thermoplastics consist of long, linear, saturated carbon-carbon chains
68 that extend in one dimension. Molecular chains of thermoplastics can move independently
69 because they are not crosslinked. Thermoplastics can be reused because they can be repeatedly

70 melted and solidified by heating and cooling (Robertson 2013). Unlike thermoplastics,
71 thermosets form irreversible crosslinks between chains during processing and cannot be re-
72 melted and reprocessed (Lithner et al. 2011, Robertson 2013). Elastomers share properties of
73 thermoplastics and thermosets. Elastomers have wide crosslinks between molecules, which allow
74 mobility of molecular chains resulting in soft and elastic properties. Rising temperature increases
75 elasticity, but like thermosets they cannot be melted without thermal decomposition (Shanks and
76 Kong 2013). Examples of types of plastic are given in Figure 1.

77 Due to their mechanical properties, thermoplastics are the most widely used plastics,
78 accounting for more than two-thirds of all polymers used globally (Robertson 2013). Common
79 thermoplastics belong to a few generic plastic resin families identified by the Resin Identification
80 Codes (RICs) that aid sorting and recycling in the waste stream (Table 1) (D20 Committee
81 2010). The current ASTM D7611 gives codes for the six most commonly found resin types, in
82 order from numbers 1-6: polyethylene terephthalate (PETE, PET); high density PE (HDPE);
83 PVC (V); low density PE (LDPE); PP; and PS. All other resins, including PC, acrylonitrile
84 butadiene styrene (ABS), nylon and other materials made with more than one type of resin from
85 Nos. 1-6, are marked with a No. 7 (ASTM International 2013).

86 3 Plastic Ingredients

87 3.1 Additives to Plastics

88 Additives are inorganic or organic substances that enhance the processing and properties
89 of plastics (e.g. stabilizers, plasticizers, biocides, flame retardants, pigments, and others); or act
90 as filler (e.g. carbonates and silicates) to extend the volume of material and reduce production
91 costs (Deanin 1975). Stabilizers may include hydrogen donating antioxidants (e.g. hindered

92 phenols and secondary aromatic amines), hydroperoxide decomposers (e.g. organosulfur
93 compounds), heat stabilizers (e.g. lead, tin and mixed metal compounds such as calcium-zinc),
94 light stabilizers (e.g. hindered amine light stabilizers [HALS]), and UV absorbers (e.g.
95 benzophenones) that inhibit the formation of free radicals and photo-oxidation reactions such as
96 those catalyzed by UV irradiation (Ceresana 2011a, 2012, 2013). Pigments are used as colorants
97 and may also confer additional properties such as UV protection (e.g. titanium dioxide and
98 carbon black) (Ceresana 2011b, Lithner et al. 2011). Biocide examples include halogen, metallic
99 and organosulfur compounds (Ceresana 2012). Fire retardants may be added to reduce
100 flammability (e.g. organic halogen compounds and magnesium hydroxide) (Ceresana 2011c).
101 Various lubricants such as paraffin and other petrochemical waxes and oils may also be added to
102 the polymers or surfaces of machine processing parts during plastics manufacturing.

103 3.1.1 Phthalate Plasticizers

104 One of the largest and most controversial groups of plastic additives is plasticizers.
105 Phthalate ester plasticizers were first used commercially in the 1920s (Graham 1973, Guo et al.
106 2012). Plasticizers are a class of organic compounds added to plastics to improve flexibility and
107 durability, without which a plastic may be too rigid and brittle for its intended use (Latini et al.
108 2004, Till et al. 1982). Plasticizers work by decreasing the polymer T_g , making the plastic softer.
109 PVC polymers use almost 90% of plasticizers produced worldwide (Cadogan and Howick 2000).
110 The most common types of plasticizers are the phthalate esters listed in (Table 2).

111 Specific phthalates used in food packaging materials such as plastic wrappers on candies,
112 cooked meats, and cheese (Castle et al. 1988) are diethyl phthalate (DEP), dimethyl phthalate
113 (DMP), diisobutyl phthalate (DiBP), di-n-butyl phthalate (DnBP) and di(2-ethylhexyl) phthalate

114 (DEHP) (Fasano et al. 2012, Sendón et al. 2012). The Food and Drug Administration (FDA)
115 restricts food use-approved plasticizers to 30% of the weight of food containers (US FDA
116 2013a). PVC is predominantly plasticized with DEHP. Due to health concerns and governmental
117 regulatory changes (EU 2011), DEHP use is declining and being replaced with linear phthalates
118 and non-phthalate plasticizers such as polyester (U.S. DHHS 2011). DEHP is classified by the
119 Environmental Protection Agency (EPA) as a class B2 probable human carcinogen, and acts as
120 an endocrine disruptor in the body (Zhou et al. 2011). Human exposure to DEHP is primarily
121 through ingestion, whereas DMP and DEP are through inhalation; DBP and DEP can be
122 absorbed transdermally (Guo et al. 2012).

123 Unlike some plasticizers, phthalates are not chemically bound to plastic products and
124 therefore can leach into foodstuffs (Zhou et al. 2011). Majority of guidelines are set for drinking
125 water, but not all phthalates used in food packaging are addressed. The EPA limits phthalates
126 according to the Phthalates Action Plan due to their toxicity and evidence of pervasive human
127 and environmental exposure pathways. Leaching into water sources can be toxic to terrestrial and
128 aquatic animals (Russo et al. 2012, U.S. EPA 2012). The most common phthalate is DEHP (CAS
129 117-81-7), which is regulated under the EPA's Safe Drinking Water Act (SDWA) at a maximum
130 contamination limit of 0.0056 mg/L (U.S. EPA 2017a). The solubility of DEHP in water is low
131 (45 µg/liter), though, DEHP may form colloidal dispersions with higher solubility values (> 285
132 µg/liter) (IPCS 1992). Phthalates migrate into ethanol more readily than water (Karačonji et al.
133 2017) because they are miscible with most common organic solvents (IPCS 1992). The
134 migration of phthalates is likely influenced by pH. Soft drinks with a pH of 3 had 5 to 40 times
135 greater migration from plastic to liquid when compared to pH 5 mineral water (Bosnić et al.

136 2007). Given wine ethanol content (7 to 14% v/v) and pH (3 to 4) it may be possible for greater
137 migration to occur in wine when compared to water (Amerine et al. 1980), though no data are
138 available to show whether there is greater migration of phthalates into wine compared to water.
139 While few studies have investigated the migration of plastic materials into wine, there have been
140 studies that evaluate food contact materials (FCM) migration into food simulants (Paseiro-
141 Cerrato et al. 2017, US FDA 1977a), fruit juices (de Quirós et al. 2015), mineral water, and soft
142 drinks (Bosnir et al. 2007). After 30 days of exposure in PET bottles, DMP was not detected in
143 mineral water, DMP was abundant in soft drinks, varying with preservatives (sodium benzoate
144 and potassium sorbate). DMP ranged from 18 – 2666 µg/L in soft drinks. However, they do not
145 account for whether the products were contaminated prior to being in bottle, such as through
146 exposure in the bottling line. If the source of contamination was the bottling line, a similar
147 conclusion might be made for the bottling of wine.

148 Regulatory intake, defined as Tolerable Daily Intake (TDI) is not clearly defined across
149 states, countries, or globally and differs for each type of plasticizer. Ideally, suggested TDI's
150 should account for gender, age, duration of exposure and body mass. TDI set by the EPA for
151 nine phthalates ranges from 0.02 to 0.8 mg/kg-day orally. The European Food Safety Authority's
152 (EFSA) tolerable daily intake (TDI) for phthalates is 0.01 mg/kg-day for DBP, 0.05 mg/kg-day
153 for BBP, 0.05 mg/kg-day for DEHP (Moreira et al. 2013). The US Consumer Product Safety
154 Commission examined target subpopulations (women, infants, toddlers, and children), and for
155 eight phthalates (DEP, DBP, DIBP, BBP, DNOP, DEHP, DINP, and DIDP) found ingestion of
156 food, beverages, and drugs rather than children's toys and personal care products was the
157 greatest source of phthalate exposure (Gennings et al. 2014). Estimated means of phthalate

158 exposure ranged from 0.00015 to 0.0308 mg/kg-day. The EPA's reference doses for phthalates
159 include 0.8 mg/kg-day for DEP, 0.1 mg/kg-day for DBP, 0.02 mg/kg-day for DEHP, and 0.2
160 mg/kg-day for BBP (U.S. EPA 2017b, 2017c, 2017d, 2017e). TDI limitations may not consider
161 the isomeric mixtures of phthalates, with some studies only focusing on a few of the hundred
162 potential isomers with varying physiological impact. Additionally confounding is the fact that
163 few epidemiological studies have been conducted on humans. Though correlations between
164 toxicity data on animal subjects can be made with human health, more work is needed to
165 understand the physiological impacts on human health. The FDA's guidance for packaging, or
166 Food Contact Substances (FCS) indicates a consumption factor (CF), for the fraction of content
167 within a daily diet of a particular additive. The CF of plasticized LLDPE is 0.05 mg/kg under the
168 assumption that migration is occurring in alcoholic beverages with alcohol concentrations
169 ranging from 10 to 15% (v/v) ethanol/water, with no specific regulation applied to wine
170 containers (US FDA 2007a). Despite the lack of global regulatory limits and study limitations,
171 compelling evidence suggests the link between phthalates and negative effects on reproductive,
172 fetal developmental, liver, kidney, heart, lung and hematologic health in humans (DiGangi et al.
173 2002) illustrating the need for HACCP systems when phthalate-containing plastics are used in
174 food storage products.

175 3.1.2 Bisphenols

176 Bisphenols are primary constitutional monomers used in production of epoxy resins and
177 polycarbonates used in food contact materials (FCM) applications (Table 2). Epoxies are used to
178 line canned food containers, processing pipes, and concrete wine tanks, among many other uses
179 (Pivnenko et al. 2015). Epoxy resins are produced through the reaction of epichlorohydrin and

180 BPA to form bisphenol A diglycidyl ether (DGEBA or BADGE). Epoxy resins may be further
181 reacted (cured) through catalytic homopolymerization or by forming a copolymer with hardeners
182 or curatives to form thermosetting cross-linked polymers that exhibit strong mechanical
183 properties with high temperature and chemical resistance. Hardeners include phenols,
184 anhydrides, polyfunctional amines, and thiols in order of increasing reactivity.

185 Polycarbonate polymers are commonly used in water bottles and food storage containers
186 because they are durable with high impact-resistance, temperature resistance, and optical clarity.
187 Since the 1950s, BPA has been used as the monomer in polycarbonate plastic, resulting in global
188 production estimated at 10 billion pounds per year (vom Saal et al. 2012). BPA was approved by
189 the Food and Drug Administration (FDA) for products containing food in the 1960s (Grignard et
190 al. 2012). Polycarbonate is typically produced by the reaction of bisphenol A (BPA) and
191 phosgene COCl_2 but may be produced with other bisphenols e.g. bisphenol S (BPS) or bisphenol
192 F (BPF) (Table 2). BPA may be used as an antioxidant for polymer and plasticizer use in PVC
193 production (Grossman 2008). Leaching of BPA occurs when the molecules are hydrolyzed from
194 polycarbonate as temperature increases at high or low pH (Fasano et al. 2012), although BPA is
195 poorly soluble in water (Le et al. 2008). When BPA-containing plastics or epoxy-lined storage
196 containers are scratched or damaged over time, BPA has the capacity to leach into food and
197 beverages (Brede et al. 2003, Brotons et al. 1995, Howdeshell et al. 2003). Wine storage bags are
198 often made of polycarbonate plastic (also called #7) which contain BPA.

199 BPA has received considerable attention as a suspected toxicant due to its weak
200 estrogenic activity, which is suggested to disrupt endocrine and estrogen signaling, alter human
201 development, and cause breast and prostate cancers, have led to usage restriction (Barrett 2008,

202 Grignard et al. 2012, Matsushima et al. 2007, Timms et al. 2005). Nevertheless, migration of
203 BPA into foods from packaging materials occurs at very low concentrations (Ackerman et al.
204 2010, Noonan et al. 2011). Few data are available on bisphenol migration in wine, though BPA
205 and its curing agent methylenedianiline migrated through epoxy resin vats into model wine in a
206 range of 0 to 30 mg/kg and 0 to 7.6 mg/kg resin, respectively (Larroque et al. 1988). New
207 research would be needed to determine if contemporary tanks exhibit similar migration. BPA
208 from plastic food containers is not expected to be a risk to consumers (Bang et al. 2012). US
209 FDA and EFSA both agree that BPA poses no health risk to any age group under normal dietary
210 exposures consumed, with women of childbearing age and men of comparable ages experiencing
211 an exposure of up to 0.388 $\mu\text{g}/\text{kg}\text{-day}$, below the recommended TDI of 4 $\mu\text{g}/\text{kg}\text{-day}$. (EFSA
212 2015, US FDA 2014). No U.S. regulatory agency restricts levels of BPA in food, however
213 twelve states in the US have policies to limit BPA exposure (Safer States 2017). For example, in
214 2015 California listed BPA on its Proposition 65 list, also known as the Safe Drinking Water and
215 Toxic Enforcement Act of 1986, which prohibits companies and individuals from using
216 chemicals known to the state to cause cancer or reproductive toxicity (Misko 2016, OEHHA
217 2015). As a result, many manufacturers are developing new formulations of non-BPA containing
218 epoxies and other alternatives.

219 While many manufacturers have discontinued using BPA and claim to be “BPA-free,” or
220 increasingly use BPA non-intent (BPA-NI) alternatives (BPA-NI means that no BPA was
221 intentionally added), cross-contamination of trace amounts of BPA may be possible during the
222 manufacturing process and contact with material still containing BPA that may be used on shared
223 equipment. Additionally, they may instead be using BPS or BPF that also test positive for

224 estrogenic activity (Gander 2016, Molina-Molina et al. 2013). Grignard et al. (2012) used two
225 highly standardized transactivation assays, and found the estrogenic activity of BPA and BPS
226 concentrations to be comparable.

227 BPA has been found in wine stoppers and wines stored in steel, wood and plastic vats,
228 glass bottles and Tetra Briks (mean concentration 0.58 ng/mL) (Brenn-Struckhofova and Cichna-
229 Markl 2006), in an unspecified brand of synthetic corks (Zapel 2011), and a small sample of beer
230 and soda cans reportedly contained 1.7 to 3.5 µg of BPA per can attributed to the epoxy lining
231 (Müller 2017). Ester bonds linking BPA to polycarbonate and epoxy resins of food storage
232 material are hydrolyzed when exposed to heat and contact with acidic or basic foods, which
233 releases bisphenols into foods (Fasano et al. 2012).

234 NIAS may also be an issue, especially where new, alternative polymers use is concerned
235 and which despite FDA Guidance documents, may not be fully understood (Paseiro-Cerrato et al.
236 2017, US FDA 2007b). The FDA regulates food-contact "resinous and polymeric coatings,"
237 listing approved precursor materials and setting migration limits of total extractives from the
238 coating to the food (US FDA 1977b).

239 4 Hazard Analysis Critical Control Points (HACCP)

240 As plastics use increases in wineries, little is known about the implications of plastic
241 containing products on identified critical control points (CCP) and safety programs. To monitor
242 the safety of food products, including their packaging, HACCP have been utilized by food
243 producers, regulatory authorities, and inspection services (Bovee et al. 1997) and with increasing
244 occurrence, winemaking. For example, the European Union set maximum concentration limits

245 for ochratoxin A (OTA), a fungus-derived toxin in wines for all member states and HACCP have
246 been proposed as a method to address that risk (Martínez-Rodríguez and Carrascosa 2009),
247 which may also be applicable to plastic additive contamination. Though HACCP in wineries
248 have not been required under the US Food Safety Modernization Act (FSMA) (Leake 2014), the
249 FSMA requires FDA inspection of wineries since 2018. FSMA will be used to monitor the whole
250 food production chain, so in addition to wineries, custom-crush operations, and mobile bottling
251 operations will be under consideration (Smith 2013). Several control points (CP) and CCP lists
252 and guides are already published in journal articles and through universities and are available for
253 use in wineries. While CP are important, CCPs are crucial for product quality and manufacturing
254 safety. CP and CCP can be used to develop Wine Standards Management Plans (WSMP) in a
255 winery (N.Z. FSA 2017). CP and CCP occur in the vineyard, in transport of fruit from vineyard
256 to winery, and in the winery. CP and CCP for grapes, must, and wine are related to physical,
257 chemical, and microbial hazards and quality parameters such a product appearance, consumer
258 acceptability, flavor, color, and aroma. Good manufacturing practices and vineyard management
259 are key in maintaining CPs and CCPs (Christaki and Tzia 2002). In the United States, wineries
260 must have a permit with the Alcohol and Tobacco Tax and Trade Bureau (TTB) and be
261 registered with the FDA under the Bioterrorism Act of 2002. Wine is considered low in risk of
262 food safety hazards according to the TTB and FDA. However, the FSMA imposes a few
263 additional safety factors, such as enforcing continued registration with government agencies,
264 recalls, product detainment, and import regulation (Smith 2013). One important consideration
265 that is overlooked in CPs and CCPs is plastic usage. Plastic is either not mentioned or is not
266 considered a biological, chemical, or physical hazard (N.Z. FSA 2017). However, based on

267 research from other foods and beverages and the lack of published data on wines, there may be a
 268 need to re-evaluate and research potential hazards of plastics in winemaking.

269 Regardless of the scientific or regulatory consensus about health risks, they may be
 270 irrelevant to market forces from negative public perception and the assumption that plastics are a
 271 hazard in a wine industry that increasingly uses plastics. For example, part of a “Chemical
 272 Fallout” article series by the *Milwaukee Journal Sentinel* outlined negative effects of BPA, to
 273 much praise (Rust et al. 2007). Brewer and Ley (2011) examined public response to this
 274 controversy across news media and determined that despite mixed opinions by the scientific
 275 community as to the confirmed link between risks and consumption, most people who had been
 276 exposed to even a small amount of information about BPA, were concerned and favored a ban on
 277 its usage. As outlined in Brewer and Ley, three main actors are involved in perception of BPA,
 278 which could also be expanded to other compounds like phthalates: science, business, and
 279 government. Irrespective of health effects, or lack thereof, even for benign compounds, negative
 280 marketing or public perception may have grievous consequences. While implementation of
 281 HACCP practices might be helpful in mitigating health risks and satisfying regulatory
 282 requirements, we suggest that HACCP approaches might also be beneficial if applied to other
 283 areas such as hazard analysis of critical control points to wine production processes that may
 284 influence potential public perception and marketing in addition to effects on wine flavor and
 285 quality.

286 4.1 Plastics and Plastic Additives in Wine

287 Plasticizers tend to be lipophilic, with limited solubility in aqueous alcohol solutions.
 288 However, many foodstuffs used to make alcohol (i.e. grapes, apples, grains, etc.) have some

289 lipophilic substances in their skins that may accumulate plasticizers through contact (Buglass
290 2010). From the time they are picked, fruit used to make wine may contact plastics that
291 potentially contain plastic additives. For example, fresh picked plums transported in plastic bags
292 had detectable levels of DEP, DBP, and DIBP (Jurica et al. 2016). After entry into the winery,
293 fruit and wine ingredients may be exposed to pumps, tubing, transport containers, pneumatic
294 press material, additives such as flavorings, and finally storage, bulk shipping containers and
295 consumer packaging materials which can all contain or be contaminated with plasticizers or
296 bisphenols and possibly contribute cumulative increases of these chemicals to the wine (Buglass
297 2010, Del Carlo et al. 2008, Sendón et al. 2012). Even though the fruit, must, and wine residence
298 time with any one of these plastics containing materials may be short, the cumulative exposure
299 potential for leaching is unknown and a worthy area for additional research.

300 Regarding alcoholic beverages, plasticizers have been found in Chinese *baijiu*, a white
301 spirit usually distilled from sorghum or other grains. The Jiungui liquor company found liquor
302 samples containing 1.04 mg/kg DBP, which is higher than the 0.3 mg/kg standard set by the
303 Ministry of Health in June 2011 (China.org 2012, Zhu 2012). Large-scale tests of China's liquor
304 have shown almost all alcohol products contain an average level of 0.537 mg/kg of plasticizers
305 (Yinan 2012). DBP and DIBP were found in more than 94% of food samples, but were
306 significantly higher in wine and beer compared to other beverages (Guo et al. 2012). Other grain-
307 neutral spirits and vodka have been found to contain phthalate plasticizers including DBP, DOP,
308 and DEHP, however they were considered biologically insignificant (Leibowitz et al. 1995). In
309 March 2013, three brands of French cognac were prohibited from entering China's market
310 because they reportedly contained excessive levels of plasticizers (Global Times 2013).

311 In terms of winemaking, plastics are used in the manufacture, transport, and storage of
312 wine (Table 3). Just as in liquor manufacturing, various stages in winemaking may involve
313 plastic products that contain leachable plasticizers and other additives. Wine may come into
314 contact with extractible plasticizers such as DBP and DEHP, which are the most common
315 phthalate contaminants in wine (Buglass 2010). In some cases, plastics are used because they
316 offer advantages to traditional packaging. For example, PET bottles and Bag-in-Box containers
317 weigh less than a glass wine bottle of the same volume, so shipping costs are lower, storage is
318 easier, and they do not shatter (Scheer and Moss 2012). Several of these features are considered
319 to be environmentally-friendly. Packaging used for boxed wine has some advantages because it
320 supposedly prevents oxidation for longer once opened when compared to glass bottles and can
321 keep wine fresh for up to six weeks after opening (Ghidossi et al. 2012). A drawback of using
322 plastic is the potential for plastic materials in contact with wine to scalp volatile flavors from
323 wine, or wine may absorb undesirable aromas from plastic (Peyches-Bach et al. 2012). Examples
324 of plastic materials that may contact wine are stoppers, including those used to seal partially
325 consumed bottles of wine, as well as aluminum cans and concrete fermenters, which were
326 commonly lined with BPA based epoxy, although BPA-NI alternatives are available (Gander
327 2016, Scheer and Moss 2012, Sheftel 2000, Teichgraeber 2005). Wine in can consumer
328 acceptance and sales share are increasing and is an area of great interest and concern for
329 manufacturers (Johnston and Velikova 2016, O'Donnell 2016).

330 Alternatives to natural bark cork closures are also a concern for plasticizer contamination.
331 Alternative closure use such as synthetic corks and screw caps has increased due to the rate of
332 cork taint, estimated at 3-5% of bottled wine, caused by 2,4,6-trichloroanisole (TCA) that

333 imparts musty, wet cardboard aromas (Butzke and Suprenant 1998, Jennings 2012). Synthetic
334 closures comprise an estimated 19% of the closure market, with metal screw caps making up
335 11% of the market of approximately 20 billion wine bottles per year (Steehan 2010). A greater
336 range of plasticizers occur in plastic closures compared to other plasticized plastic materials used
337 in winemaking (Buglass 2010, Sendón et al. 2012). SARANEX™, used in both screw cap liners
338 and synthetic closures, is a barrier film consisting of layers of SARAN™ resin (polyvinylidene
339 chloride, PVDC) and thermoplastic polymer resins (Dow 2013). SARAN™ resin contains PVC,
340 a source of plasticizer contamination. Plasticizers found in PVC-based films include DEHA and
341 phthalates such as DBP and DEHP (Groth and Silbergeld 1998). LDPE has been used as a
342 replacement for PVC in SARAN™ (SC Johnson), however it provides a poor oxygen barrier and
343 can scalp flavors from foods (Smith and Hui 2004). In addition, use of artificial closures, plastic
344 liners in screw caps, and other plastic closures may expose wine to plastic leachates that alter
345 organoleptic properties in the wine as with other foods and beverages (Wagner and Oehlmann
346 2009).

347 In the environment, apart from a few fungal species and bacterial isolates, it is difficult
348 for plastics to be broken down by microbes due to their absence of enzymes necessary to convert
349 biochemically novel compounds such as plastic molecules into intermediates (Yoshida et al.
350 2016). Nevertheless, certain microbes are integral in the process of wine making and though
351 microbes that can break down plastics have not been identified in wine, more work is needed to
352 determine if microorganisms in wine promote the breakdown of plastics involved in wine
353 processing, storage, and packaging and that may have human health or wine quality
354 consequences. Also important is the identification of wine microorganisms potentially capable of

355 degrading bio-based plastics. Microbes can degrade organic and inorganic compounds such as
356 lignin, starch, cellulose, and hemicellulose, therefore storage in bio-based containers should be
357 examined.

358 4.2 Exposure Considerations

359 Concentrations consumed by humans are an effect of many variables: storage conditions
360 of the beverage influences amount of leaching, chemical properties of the beverage, packaging
361 type, intake, gender, size of the person, and age all interplay. Moderate drinkers of alcoholic
362 beverages are described as individuals who consume four drinks for men and three drinks for
363 women in a single day, and a maximum of 14 drinks for men and seven drinks for women per
364 week (Nordqvist 2018). In comparison to the estimated BPA consumed based on the National
365 Health and Nutrition Examination Surveys, total adult intake ranged from 30 – 70 ng/kg-day
366 between 2005 and 2010 and was mainly attributed to canned food consumption (Lorber et al.
367 2015). Estimated exposure of seven phthalate monoesters as measured by urinary metabolites
368 ranged from 1.7 to 110 mg/kg-day for the 95th percentile of the population. Phthalates were
369 based on total exposure, including consumption, absorption through skin, and inhalation (David
370 2000). For an adult man with an average weight of 89.6 kg (Gill 2018), given a standard glass of
371 wine is approximately 148 mL (NIAAA) and he drinks the average 4 glasses a day with a
372 potential BPA concentration of 0.58 ng/L, his exposure to BPA from this consumption factors to
373 343.36 ng, or 3.8 ng/kg-day, a tenth of the lowest total adult daily intake. Though many factors
374 affect how much plastic additives are in a wine (e.g. storage conditions, manufacturing process),
375 the average amount of BPA in wine from the papers reported in this review was 0.58 ng/mL
376 (Brenn-Struckhofova and Cichna-Markl 2006, Lambert and Larroque 1997). Different phthalates

377 are examined and reported in each study, but in general Carrillo et al. (2008) found total
378 phthalates in wines ranged from 0.0027 to 0.015 mg/L. For the same man consuming the greatest
379 of the range cited by Carrillo et al. (2008) in four drinks, he would consume 0.0089 mg, much
380 lower than the 95th percentile of consumption according to David (2000). Just as alcohol affects
381 each age, gender, and size of person differently, each person's ultimate exposure to additives
382 may differ based on the same variables. Finally, it begs the question: how much of these plastic
383 additives does a person have to consume before they experience health problems, if at all?

384 5 Analytical Methods

385 Due to the widespread use of bisphenols and phthalates, disposable laboratory
386 plasticware such as pipette tips may be contaminated with or contain these additives, which can
387 compromise laboratory experiments (Del Carlo et al. 2008). Additives such as oleamide and
388 biocides have been found to leach from laboratory PP disposable plasticware, which affects
389 protein function in biological research (McDonald et al. 2008). Laboratories can seek
390 manufacturers that disclose information on additives used in the manufacture of plastic products
391 for laboratory use, as well as leachable reaction components used in the manufacture of plastics.
392 Regardless, researchers may still need to confirm the absence of effects due to additive
393 contamination or to account for them in their assay methods and results.

394 Because bisphenols and phthalates are mostly found at trace levels (nanograms per
395 milliliter or less), all analytical quantification methods in both solid and in liquid samples must
396 start with concentration of the analytes prior to chromatographic analysis. Examples of
397 concentration methods include liquid-liquid extraction (LLE) (Del Carlo et al. 2008), solid-

398 phase extraction (SPE) (Del Carlo et al. 2008, Russo et al. 2012), multi-walled carbon nanotube
399 sorbents (Li et al. 2013), solid-phase micro-extraction (SPME) (Carrillo et al. 2008, 2007) and
400 stir-bar sorptive extraction (SBSE) (Pfannkoch and Whitecavage 2002).

401 **5.1 Determination of Phthalates**

402 Several analytical methods have been utilized to determine phthalate concentration in various
403 materials used in making and storing wine, however, detection of phthalates is challenging
404 because of their ubiquitous nature in the laboratory environment (Del Carlo et al. 2008).
405 Eliminating background traces of phthalates is important in order to report accurate limits of
406 detection (Bradley et al. 2013). Phthalate analysis is based mainly on gas chromatography –
407 flame ionization detection (GC-FID) and gas chromatography – mass spectrometry (GC-MS),
408 however gas chromatography/ion trap – mass spectrometry (GC/IT-MS), high performance
409 liquid chromatography – ultra violet visible detection (HPLC-UV) and liquid chromatography –
410 mass spectrometry (LC-MS) are also utilized (Cao 2010, Russo et al. 2012). Using these
411 analytical methods, DBP, BBP, and DOP have been found in wines, including DEHP at levels
412 exceeding the EPA limit (0.0056 mg/L in water), particularly from wines with synthetic or
413 agglomerated cork stoppers (Carrillo et al. 2008, Sendón et al. 2012).

414 **5.1.1 GC-FID**

415 GC-FID has primarily been used to examine other foods, but not alcoholic beverages.
416 However, GC/FID was used to establish the effectiveness of single-drop microextraction (Batlle
417 and Nerín 2004). Three aqueous food simulants containing trace phthalates were analyzed,
418 including 15% (v/v) ethanol/water, 3% (w/v) acetic acid/water, and distilled water. In

419 comparison to SPME, recovery was effective, ranging from 85 to 115% for most compounds. It
420 was determined limits of detection levels were below those recommended by the EPA.

421 5.1.2 GC-MS

422 Solid-phase extraction-gas chromatography-mass spectrometry (SPE-GC-MS) was used
423 to investigate the presence of six phthalate esters from commercial, private producers, and pilot
424 red and white wines at low trace levels (Del Carlo et al. 2008). It was determined that all wine
425 samples were contaminated with phthalates. The limit of detection (LOD) for the analysis was 18
426 ug/L, and the limit of quantitation (LOQ) was 29 ug/L. In comparison to current TDI amounts,
427 which are specified based on mg/kg-d, the amount consumed, as well as factors such as gender
428 an age play a role as to whether an individual is exposed to safe amounts.

429 Plastic wine tops held at “extreme conditions” (EC) of incubation in an oven at 40 °C for
430 10 days or in ultrasonic bath for 15 min and exposed to 15% (v/v) ethanol/water (Fasano et al.
431 2012). Eight compounds were examined with SPE-GC-MS, four of which were phthalates, and it
432 was determined that all plastic wine tops receiving EC treatment were contaminated by all
433 phthalates, and in the ultrasonic treatment were contaminated with 2 to 3 phthalates.

434 Carrillo et al. (2007) determined that the best fibers for examining phthalate esters in
435 wine were polyacrylate (PA), carbowax-divinylbenzene (CW-DVB), and polydimethylsiloxane-
436 divinylbenzene (PDMS-DVB). Further work utilized isotopically-labelled phthalate internal
437 standards with HS-SPME-GC/MS and determined total phthalates in the wines analyzed ranged
438 between 0.0027 to 0.015 mg/L (Carrillo et al. 2008).

439 5.1.3 Electron Spin Resonance

440 Migration of DOXYL and TEMPO-phthalate from agglomerated champagne cork
441 stoppers was examined using electron spin resonance (ESR) (Six and Feigenbaum 2003, Six et
442 al. 2002). Paramagnetic probes were incorporated in the adhesive and cork during processing. To
443 incorporate the probes, cork granules were sealed with probes in a hermetic poll box in an oven
444 held at 70 °C for 2 hr for TEMPO-phthalate. Ten grams of cork granules were molded with 1.75
445 g adhesive plus 0.3 g Vaseline. Corks were immersed in alcoholic simulant of wine (12% v/v
446 ethanol/water at pH 3) for 10 days at 40 °C. ESR spectra of slices of cork indicated simulant
447 wine penetrated the whole structure of the finished cork.

448 5.1.4 GC/IT-MS

449 Pre-concentration is necessary for developing sensitive analytical methods of trace
450 compounds such as phthalates. Russo et al. (2012) explored the pre-concentration step to
451 optimize SPE-GC/IT-MS by using Carbograph 1 sorbent to improve recovery of phthalate by 78
452 to 105%. The method was both sensitive and reproducible in the red and white wines analyzed.

453 Six and Feigenbaum (2003) analyzed champagne corks for potential migrants of concern,
454 toluene diisocyanate (TDI) and methylene bisphenylisocyanate (MDI) in adhesives, lubricants,
455 and surface treatments. Analysis was conducted spectroscopically and chromatographically. The
456 composition was determined and verified by infrared spectroscopy, proton nuclear magnetic
457 resonance spectroscopy (¹H-NMR) and GC-MS. Interestingly, they found the presence of other
458 solutes in wine, such as sugars, can decrease the migration of additives from cork. Simulant wine
459 can overestimate this migration, providing an extra margin of safety when compared to levels
460 found in actual wine. DEHP was the only migrant detected from the corks, with 90% of

461 extraction occurring within 1 day in all tests. DEHP was specifically monitored in further tests,
462 and found transfer from cork to simulant wine to be 50 µg/L. Existing regulations on silicone
463 elastomers in France was used as the reference value for safe levels of migration from
464 champagne corks, therefore migrated DEHP was less than the legal reference of 3 mg/L.

465 **5.1.5 Liquid Chromatography**

466 Agglomerated corks are made from natural cork granules and adhesives which contain
467 esters such as phthalates and adipates. Sendón et al. (2012) utilized HPLC-MS/MS to examine
468 the presence of phthalates in 21 agglomerated cork stoppers as well as their potential migration
469 into 12% (v/v) ethanol/water, although, no corks yielded quantifiable levels of phthalate
470 migration. Yano et al. (2002) also used HPLC to determine the presence of phthalates in Korean
471 and Japanese retail beverages, including alcoholic beverages such as the Japanese distilled
472 beverage *sho-chu*, beer, rice punch, red wine, and white wine. Levels of DBP in Japanese red
473 wines were among the highest sampled at 0.275 µg/g, nearly 100% greater than in Korean wine.
474 LC-GC/MS has been found to be an efficient method to examine phthalate residues in grain
475 neutral spirits and vodka (Leibowitz et al. 1995). Six reported phthalates were quantitated in 50
476 samples, although detected levels were insignificant compared to the suggested threshold for
477 long-term exposure, 15 mg/L, with concentrations as low as 20 µg/L. Most recently (Barciela-
478 Alonso et al. 2017), developed a SPE-LC-MS method to determine 4 phthalates in water stored
479 in plastic bottles and white and rosé wine stored in Tetra Brik packages. All phthalates were
480 found in water, though DEP and DBP were the only phthalates recovered in both type of wine.

481 **5.2 Determination of Bisphenols**

482 Many solvent extraction methods as well as SPE are used to isolate BPA from samples,

483 followed by analysis such as LC, GC, and immunochemical methods (Ballesteros-Gómez et al.
484 2009).

485 **5.2.1 SPE with GC/MS**

486 Fasano et al. (2012) conducted migration tests to examine levels in 11 types of common
487 food packaging materials, including plastic wine tops made with elastomers and foams (ethylene,
488 propylene, urethane, silicones or their copolymers with different additives). The migration test
489 utilized liquid food contact materials to simulate different types of foods: distilled water for
490 aqueous foods with pH above 4.5, 3% acetic acid in distilled water for acidic aqueous foods with
491 pH below 4.5, 15% ethanol for alcoholic foods, and oil for fatty foods. Migration test conditions
492 were 40 °C for 10 days, which was considered “extreme conditions” (Fasano et al. 2012).
493 Analytes were concentrated with SPE and quantified with GC/MS. Plastic wine bottle tops
494 showed the highest level of migration for one of the two alkylphenols and three of the four
495 phthalates when compared to the ten other sources of food packaging materials which included
496 items such as baby product food packaging, canned food, food bags, and glass jar caps. Of the
497 three phthalates found, levels were 25 to 75 times greater than the lowest amount found in the
498 other packaging materials. BPA was not recovered in wine bottle tops. The authors concluded
499 risk due to exposure is primarily associated with potentially negative impact on health. In cases
500 where wine is stored on its side, there may be potential migration issues from wine bottle tops.

501 **5.2.2 HPLC**

502 Lambert and Larroque (1997) utilized HPLC with fluorescence detection in wine and
503 mineral water, which can be contaminated through exposure to epoxy resins lining wine storage
504 containers, water towers, and drinking water pipes. Detection limits ranged from 5 to 2.5 µg/L in

505 red and white wine and 0.25 to 0.70 $\mu\text{g/L}$ in mineral water. Sol-gel immunoaffinity, HPLC, and
506 fluorescence detection were used to examine BPA contamination in wine exposed to vats (steel,
507 wood and plastic), glass bottles and Tetra Brik type carton packages (Brenn-Struckhofova and
508 Cichna-Markl 2006). Plastic wine stoppers were immersed in 11% ethanol and detectable levels
509 of BPA were leached from the stoppers. Wine samples consisted of a total of 59 wines (46 white,
510 13 red). In 13 of 59 wine samples, the BPA concentration was below the LOQ (0.2 ng/mL).
511 Mean BPA for the wine samples was 0.58 ng/mL, below previously published BPA levels
512 derived from migration experiments using wine simulants.

513 **6 Alternative Plastics and Plasticizers: Bio-based Options**

514 Alternative plastics and plasticizers from natural compounds that have no negative effect
515 on human health and little to no impact on economic viability and product quality would likely
516 be of interest to some producers in the wine industry, particularly those that desire to market
517 more natural or organic winemaking approaches. Polysaccharides, proteins, lipids, and microbes
518 are potential sources for natural plasticizers and bio-based polymers. Bio-based compounds
519 (Table 4) may decrease the need for petroleum-based plastics and plasticizers as well as reduce
520 their toxicological and environmental impacts. Alternative plasticizers are an option to reduce
521 toxicity due to plasticizer leachate in plastic products (Lowell Center for Sustainable Production
522 2011). Biopolymer films and natural-based plasticizers are less toxic, leachable, and are
523 biodegradable compared to phthalate plasticizers. However, biopolymers tend to have reduced
524 mechanical properties and performance. Biopolymers currently only share 5 to 10% of the
525 market and cost more than their non-biopolymer counterparts (Lowell Center for Sustainable

548 not contain certain additives such as bisphenols or phthalates, other additives may be present.
549 Furthermore, consumers and wine producers alike may not be aware that some plastics, such as
550 PE, could contain additives synthesized from animal extracts such as fatty acids produced by the
551 hydrolysis of animal fats (tallow) (Dow 2014). Therefore, labelling of these plastics may be
552 warranted to satisfy situations in which individuals desire to comply with various religious
553 dietary laws (e.g. kosher) or for personal reasons (e.g. vegan).

554 Concerned consumers and wine producers who use plastic might want to contact
555 manufacturers for details about the plastic resins and additives used, notwithstanding
556 nondisclosure of proprietary information. Manufacturer resin codes and supporting Regulatory
557 Data Sheet, or independent lab tests, may be needed for definitive details. However, results from
558 independent lab tests can be compromised due to ubiquitous use of plastic products in the
559 laboratory (McDonald et al. 2008).

560 Naturally, consumers and wine producers concerned about leachate contamination can
561 seek products that use traditional materials, such as wood, clay, stainless steel, glass and cork to
562 avoid potential sources of petroleum or animal-based chemicals. Additional action plans for
563 winemakers specifically include developing CPs and CCPs for their winery which would include
564 identifying key points in which fruit, must, wine and its ingredients are in contact with plastic-
565 containing substances. For quality concerns, if plastic-containing items are used, conditions that
566 encourage potential leaching and scalping should be avoided. If plastic is used in the final
567 product, labelling to note any specific precautions taken (e.g. bisphenol-free) could be used to
568 improve public perception. HACCP approaches that identify and prevent potential hazards from

569 occurring in winemaking and its marketing, may lead to safer products and better consumer
570 confidence, marketability, and ultimately wine sales.

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Figure 1 Classification of Plastics (modified from Klein 2011).

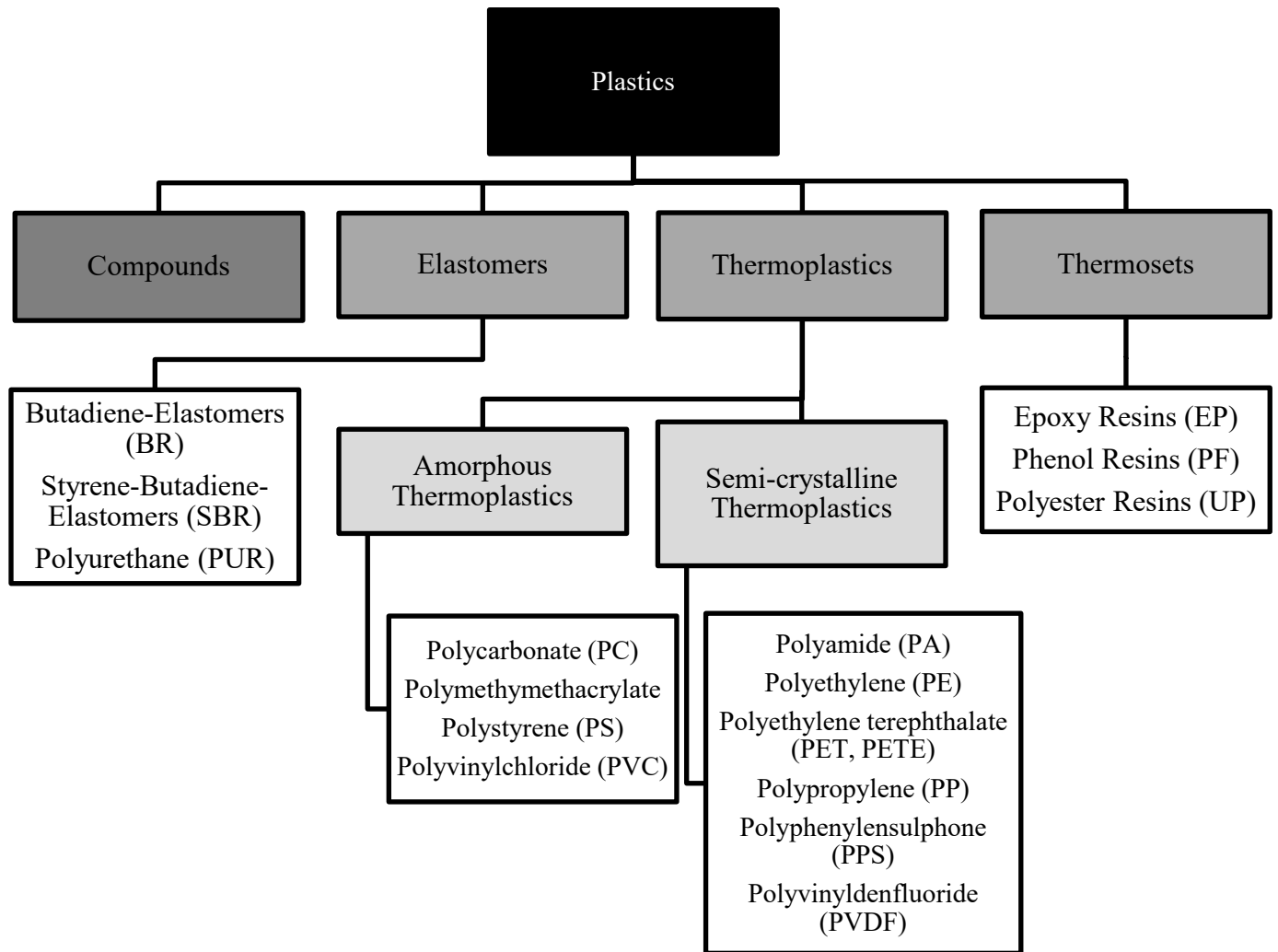


Table 1 Resin Identification Code (D20 Committee 2010).





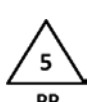

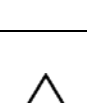
Resin Code ¹	Polymer Name	Uses
	Polyethylene terephthalate	Drink/water and soda bottles, juice boxes, liquor bottles, food trays, condiment jars, plastic film, microwavable packaging.
	High-density polyethylene	Milk, juice, water jugs, detergent bottles.
	Polyvinyl chloride	Bottles for cooking oil, salad dressing, mouthwash, and liquor. Plastic wrap, “blister packs”, plastic pipes.
	Low-density polyethylene	Produce, frozen food, and bread bags, trash bags, squeezable bottles.
	Polypropylene	Condiment and medicine bottles, drinking straws, yogurt containers, margarine tubs.
	Polystyrene	Egg cartons, disposable plastic table ware (cups, plates, cutlery), packaging foam/"peanuts", “clamshell packaging”, food carry-out containers.
	Other (includes polycarbonate)	Injection molded drinking bottles, glasses and food containers.

Table 2 Common Phthalate Esters and Bisphenols.

Chemical class	Compound	Abbr.	CAS number	Formula	SIM	MW	Limits
Phthalate	Dimethyl phthalate	DMP	131-11-3	C ₁₀ H ₁₀ O ₄	163 ^a	194	
	Diethyl phthalate	DEP	84-66-2	C ₁₂ H ₁₄ O ₄	149-177 ^a	222	
	<i>n</i> -Dibutyl phthalate	DBP	84-74-2	C ₁₆ H ₂₂ O ₄	149-205 ^a	278	
	Butyl cyclohexyl phthalate	BcEP	84-64-0	C ₁₈ H ₂₄ O ₄	149-223 ^a	304	
	Butyl benzyl phthalate	BBP	85-68-7	C ₁₉ H ₂₀ O ₄	149-206 ^a	312	
	Bis-(2-ethylhexyl) phthalate	DEHP	117-81-7	C ₂₄ H ₃₈ O ₄	149-167 ^a	390	0.006 mg/L ^{b,c}
Bisphenol	Bisphenol A	BPA	80-05-7	C ₁₅ H ₁₆ O ₂	(213.10, 228.10, 119.05, 216.10, 234.10, 121.05) ^d	228	0.05 mg/kg-day ^{e,f}
	Bisphenol F	BPF	620-92-8	C ₁₃ H ₁₂ O ₂		200	
	Bisphenol S	BPS	80-09-1	C ₁₂ H ₁₀ O ₄ S		250	

^aRusso et al. 2012, ^bU.S. E.P.A. 2009, ^cU.S. F.D.A. 2013b, ^dMacek and Burkhardt 2011, ^eU.S. E.P.A. 1993, ^fEU 2008.

Table 3 Potential sources of plastic exposure in the production of wine.

Activity	Type	Item	Material	Potential Additive
Transport	Container	Flexible transport tank	PE, PP, polyester, PVC	DBP, DEP, DEHP, DMP ^a , BPA
		Intermediate Bulk Containers (IBC's), drums, totes, and bins	PE, HDEP, PP	DBP, DEP, DEHP, DMP ^a
Equipment	Crush	Press bladder membrane	Nylon, rubber, polyester, PVC, silicone	?
		Conveyor belts		
	Pumps & hoses	Seals, impellers, bearings, lines, hoses	PE, polyacetal, PVC	DBP, DEP, DEHP, DMP ^a , BPA
	Tanks/containers/barrels	Poly tanks	PE	DEHA, DBP, DEHP ^b
		Fiberglass tanks	Fiberglass-epoxy/PVC	BPA
Concrete	May be lined with epoxy ^c which may contain PVC ^{d,e,f}			
Ingredients	Fining	Resins	?	?
Filtration	Media & housings	Pads and resins	PP, Nylon, polyethersulfone, silicone elastomer ^{g,h}	DBS, DEP, DIBP
		Reverse osmosis membranes		
		Ultrafiltration media		
Packaging	Bottle stoppers	Synthetic "cork"	#4 LDPE or #7 mixed plastics	DBP, DEP, DEHP, DMP ^{a,c} , DEHA ^b
		Agglomerate corks	PUR	DEHP ⁱ
		Natural cork coatings	Paraffin, waxes, silicon, other polymer coatings ^j	?
		Screw cap liners	#4 LDPE, PVC, PVDC	DBP, DEP, DEHA; DEHP, DMP ^{a,b,k}
	Containers	Bag-in-Box	PE, #7 mixed plastics/PC	DBP, DEP, DEHP, DMP ^a , BPA ^d
		PET bottles	PET	DBP, DEP, DEHP, DMP ^a
		Aluminum can lining	Epoxy/PVC, #7 Mixed plastics ^d /PC ^l	BPA

^aBuglass 2010, ^bGroth and Silbergeld 1998, ^cZapel 2011, ^dScheer and Moss 2012, ^eSheftel 2000.

^fTeichgraeber 2005, ^gPall Corp. 2014, ^h3M 2011, ⁱSix and Feigenbaum 2003, ^jAmerine and Joslyn 1970,

^kNara et al. 2009, ^lNatural Resources Defense Council 2011.

Table 4 Bio-based plastics and plasticizers.

Material	Name	Abbr.	Sources
Plastic	Cellulose acetate ^a	CA	Cotton fibers and wood
Plastic	Cellulose acetate butyrate ^a	CAB	Cotton fibers and wood
Plastic	Cellulose acetate propionate ^a	CAP	Cotton fibers and wood
Plastic	Cellulose nano-composites ^b		Obtained by chemical treatments and steam explosion of cellulose materials
Plastic	Corn zein ^a		Corn
Plastic	Lignin ^a		Plants and wood
Plastic	Natural fiber reinforced composites ^a		Kenaf, hemp, ramie, flax, sisal, jute, pineapple leaf
Plastic	Polyhydroxyalkanoate ^c	PHA	Whey, lignocellulosic raw materials, molasses, glycerol, fats, wastewater
Plastic	Polylactic Acid ^a	PLA	Corn, sugar beets, sugar cane, wheat, sweet potatoes, rice
Plastic	Polysaccharide nanocomposites ^d		Heparin, chitosan, cellulose, hyaluronan, starch, alginate, pectin, guar, starch/chitosan, chitosan/heparin, chitosan/hyalurona, hyalurona/heparin, cellulose and chitin whiskers, platelet-like starch
Plastic	Poly(trimethylene terephthalate) ^e	PTT	Sugar from corn with terephthalic acid (PTA) or dimethyl terephthalate (DMT) derived from petroleum ^f
Plastic	Soy protein ^a		Soybeans
Plastic	Starch derived plastics: Thermoplastic starch ^a	TPS	Corn, potato, rice, wheat, tapioca
Plastic	Urethanes: Polyol ^a		Soy oil/soybean, castor oil, rapeseed, sunflower, linseed
Plasticizer	Acetyl tributyl citrate	ATBC	Citric acid derivative
Plasticizer	Acetyl triethyl citrate	A TEC	Citric acid derivative
Plasticizer	Acetyl trihexyl citrate	ATHC	Citric acid derivative
Plasticizer	Acetyl trioctyl citrate	ATOC	Citric acid derivative
Plasticizer	Acetylated monoglycerides		Edible fats and triacetin
Plasticizer	Butylated hydroxytoluene	BHT	Phenol derivative
Plasticizer	Butyl Stearate		Stearic acid and butyl alcohol
Plasticizer	Butyryl trihexyl citrate	BTHC	Citric acid derivative
Plasticizer	Epoxized soybean oil	ESBO	Soybeans
Plasticizer	p-tert-Butyl phenyl salicylate		4-tertiary-butylphenol and salicylic acid ^g
Plasticizer	Tributyl citrate	TBC	Citric acid derivative
Plasticizer	Triethyl citrate	TEC	Citric acid derivative
Plasticizer	Trihexyl citrate	THC	Citric acid derivative
Plasticizer	Trioctyl citrate	TOC	Citric acid derivative

^aWool and Sun 2005, ^bChirayil et al. 2014, ^cDu et al. 2012, ^dZheng et al. 2015, ^eDuPont 2007, ^fÁlvarez-Chávez et al. 2012, ^gSommerfield and Stoesser 1953.