

Postveraison Application of Antitranspirant Di-1-*p*-Menthene to Control Sugar Accumulation in Sangiovese Grapevines

Alberto Palliotti,¹ Francesco Panara,¹ Franco Famiani,¹ Paolo Sabbatini,²
G. Stanley Howell,² Oriana Silvestroni,³ and Stefano Poni^{4*}

¹Professor, Ph.D., Dipartimento di Scienze Agrarie e Ambientali, Università di Perugia, Borgo XX Giugno 74, 06128 Perugia, Italy; ²Professor, Department of Horticulture, Michigan State University, East Lansing, MI; ³Professor, Dipartimento di Scienze Agrarie, Alimentari ed Ambientali, Università Politecnica delle Marche, Via Breccie Bianche, 60131 Ancona, Italy; and ⁴Professor, Istituto di Frutti-Viticultura, Università Cattolica del Sacro Cuore, Via Emilia Parmense 84, 29100 Piacenza, Italy.

*Corresponding author (email: stefano.poni@unicatt.it; tel: +39-0523-599271; fax: +39-0523-599268)

Acknowledgments: This research was partially funded by the Italian Ministry for University (PRIN 2009 Grant) and Biogard Division (Grassobbio, BG, Italy). The authors are grateful to Dr. Fabrizio Leoni, Dr. Riccardo Cini, and Dr. Massimo Benuzzi for critical appraisal and helpful discussion.

Manuscript submitted Jan 2013, revised Apr 2013, accepted May 2013

Copyright © 2013 by the American Society for Enology and Viticulture. All rights reserved.

Abstract: The effectiveness of a postveraison application of the film-forming antitranspirant Vapor Gard[®] (VG, a.i. di-1-*p*-menthene) was investigated as a technique to delay grape ripening and reduce sugar accumulation in the berry. The study was carried out over the 2010-2011 seasons in a non-irrigated vineyard of cv. Sangiovese in central Italy. VG was applied at 2% concentration to the upper two-thirds of the canopy (most functional leaves) and it significantly lowered leaf assimilation and transpiration rates and increased intrinsic water use efficiency. The Fv/Fm ratio was not modified emphasizing that photoinhibition did not occur at the PSII complex, whereas the reduction of pool size of plastoquinone matched well with reduced CO₂ fixation found in VG-treated vines. In both years VG treatment reduced both the pace of sugar accumulation in the berry as compared to control vines, scoring a -1.2 Brix at harvest and wine alcohol content at -1% without compromising the recovery of concentrations of carbohydrates

29 and total nitrogen in canes and roots. Concurrently, organic acids, pH and phenolic richness of
30 grapes and wines were unaffected, whereas a lowering in anthocyanin content in the berry (-19%
31 compared to control vines) and in the wine (-15% compared to control vines) were found. The
32 application of VG at post-veraison above the cluster zone is an effective and easy-to-do viable
33 technique to hinder berry sugaring and obtain less alcoholic wines. To be effective it is advised
34 to perform the spraying at around 14-15 Brix making sure that the lower leaf epidermis is fully
35 wetted by the chemical.

36 **Key words:** berry composition, vine yield, reserve storage, photosynthesis, chlorophyll
37 fluorescence, wine composition

38 **Introduction**

39 The specific climate is crucial to establish the overall style of a wine produced from well-
40 defined areas. Reaching complete grape maturation is critical to determining the best cultivar to
41 be grown, while climate variability determines year-to-year differences in the grape and wine
42 quality (Jones and Hellman 2003). In particular, temperature and irradiance are considered
43 critical because of their direct effect upon numerous outcomes including: the length of growing
44 season; vine and berry phenological stages; vine yield by means of flower and berry abscission;
45 berry growth; and the synthesis and accumulation of sugars, organic acids, polyphenols and
46 aromatic compounds in the berries (Gladstones 1992). A steady trend of increased warming,
47 beginning more than 20 years ago, is pushing traditional areas of grape growing toward
48 accelerated ripening (Jones 2005) leading, in turn, to excessive sugar accumulation in the fruit
49 and high alcohol in the wine. Yet, climate change and increased variability are thought to
50 contribute to only 50% of the increase in alcohol levels in wines (Jones 2007) leaving the

51 balance to other sources. The rising sugar content in grape and alcohol in wines are dependent
52 upon other environmental traits and technical choices and among these are: a) higher potential
53 canopy photosynthesis due to the steady increase of CO₂ concentration in the atmosphere
54 (Schultz 2000); b) improvements in vineyard management and in control strategies of pests and
55 insects; c) law-enforced yield constraints in several Appellation areas; d) greater use of cultivars
56 genetically characterized by low productivity due to reduced cluster weight and/or grafted on
57 low vigor rootstocks; and e) improved sanitary status of propagation material.

58 Improving sugar accumulation in berries has long been one of the main objectives of research
59 in viticulture; yet, the role of sugar concentration has recently undergone a strong change. Today,
60 an increasing number of consumers prefer wines with more moderate alcohol content (Seccia
61 and Maggi 2011), an attitude linked to more severe controls on vehicle drivers, as well as to
62 mouthfeel sensations. Regarding the latter, it has been shown that ethanol can enhance the
63 perception of sweetness and bitterness, while reducing that of acid, saltiness and sourness
64 (Martin and Pangborn 1970, Fisher and Noble 1994).

65 The limitation in grape sugar concentration achieved in the vineyard is also useful to
66 minimise costly interventions in the winery aimed at dealcoholizing wines up to -2% vol., such
67 as membrane techniques, supercritical fluid extraction, vacuum distillation, etc. These techniques
68 have recently been made legal throughout the European Union (Council Regulation n.
69 606/2009).

70 Moreover, one of the negative consequences of a premature Brix development is that in
71 several viticultural areas this process occurs during the hottest part of the season (Jones et al.
72 2005) when both the color and aroma profile can be adversely affected (Lacey et al. 1991,

73 Reynolds and Wardle 1993, Mori et al. 2007). Under these conditions, grapes often combine an
74 excessively low acidity and high pH, thus requiring additional cellar costs to balance the must.
75 This action typically involves the addition of tartaric acid before fermentation in order to avoid
76 microbiological instability and improve mouth-feel (Keller 2010).

77 Among the canopy management techniques which have been tested to regulate sugar
78 accumulation in the berries and/or modulate an accelerated or unbalanced ripening, application
79 of antitranspirant compounds have proven to be interesting for their low cost and ease of
80 application (Palliotti et al. 2012). Antitranspirants have been widely used to counteract drought
81 events since, once applied to leaves, they significantly reduce water loss and heat stress (Gale
82 and Poljakoff-Mayber 1967, Rosati 2007). Depending on the mode of action, the following two
83 types of antitranspirant have been classified: a) film-forming polymers sprayed on leaf surfaces
84 (Gale and Poljakoff-Mayber 1967); and b) stomata-closing compounds (Zelitch 1969). The
85 second group includes alkenilsuccinic acids, phenylmercuric acetate, abscisic acid and a new
86 formulation called chitosan (B-1,4-D-glucosamine), a deacetylated chitin derivative. The latter
87 compound has been recently proved to be effective in protecting bean leaves from ozone damage
88 (Francini et al. 2011), in reducing powdery mildew incidence in grapevine leaves and improving
89 total polyphenols and antioxidant activity in grapes and wine of Montepulciano (Iriti et al. 2011).
90 The film-forming polymer kaolin, an inert clay mineral, was effective at controlling heat stress in
91 several species by increasing canopy reflectance of infrared and ultraviolet radiations, thereby
92 reducing leaf and fruit tissue temperature (Rosati 2007). The effects of kaolin on leaf
93 photosynthesis provide contrasting results, due also to counteractive effects of the antitranspirant

94 on stomatal aperture and gas-exchange especially under water deficit conditions (Davenport et
95 al. 1972, Rosati 2007).

96 Recently, it was demonstrated that source limitation during the post-veraison stage, through
97 mechanical leaf removal apical to the cluster zone, was able to reduce sugar accumulation in the
98 berry and delay grape Brix accumulation without delaying increases in pigment and phenolic
99 ripening (Palliotti et al. 2013). It is conceivable that source limitation might also be imposed
100 through the use of antitranspirant compounds (Gale and Poljakoff-Mayber 1967), which have
101 already shown efficacy to reduce gas-exchange in different crop species (Iriti et al. 2009,
102 Francini et al. 2011) including the grapevine (Palliotti et al. 2010).

103 Using field-grown Sangiovese vines, a two-year study was conducted to test: 1) the
104 effectiveness of a post-veraison application of an organic film-forming antitranspirant at
105 delaying sugar accumulation in the berries, and 2) evaluate its effects on vine physiology, wine
106 quality and replenishment of the storage of reserves in cane wood and roots.

107

108

Materials and Methods

109 **Plant material and experimental layout.** The study was carried out over the 2010 and 2011
110 seasons in a non-irrigated commercial vineyard sited in central Italy near Deruta (Perugia,
111 Umbria region, 42°59' N, 12°25' E, elevation 405 m asl, loamy soil type). The vineyard was a
112 12-year-old planting of *Vitis vinifera* L. cv. Sangiovese (clone VCR30 grafted onto 420A
113 rootstock) planted at 2.5 m × 1.0 m inter- and intra-row and trained to a vertically shoot-
114 positioned, spur-pruned cordon trellis with a bud-load of about 10 nodes per meter of row length.
115 The cordon was trained 0.9 m aboveground with three pairs of foliage wires on a canopy wall

116 extending 1.2 m above the cordon. Pest management was carried out according to local standard
117 practice and shoots were mechanically trimmed when most started to outgrow the top wire.

118 Four adjacent rows of 60 vines each, were selected to create a completely randomized-block
119 design with each row as a block. Half of the vines of each block were randomly assigned to
120 antitranspirant Vapor Gard[®] treatment (VG) and the vines of the other half were used as an
121 unsprayed control (C). In 2010, due to heavy rain occurring one week after the first treatment,
122 VP was applied twice, on August 10 and 27 respectively, whereas in 2011 it was sprayed once,
123 on August 18. The antitranspirant Vapor Gard[®] (Intrachem Bio Italia, Grassobbio, BG, Italy) is a
124 water emulsifiable organic concentrate for use on plants designed to reduce transpiration by
125 forming a clear, soft and flexible film that reduces normal moisture loss. Its active ingredient is
126 di-1-*p*-menthene (C₂₀H₃₄), a terpenic polymer, also known as pinolene, which is produced from
127 resins of conifers by a distillation process. Each year, VG was prepared at 2% concentration in
128 water, stirred slowly to form an emulsion, and all the leaves of the canopy located above the
129 cluster area were sprayed using a portable pump. During treatment were wet well the abaxial
130 surfaces of the leaves in order to cover the stomatal pores.

131 **Leaf gas-exchange and chlorophyll fluorescence.** In 2010, beginning one week before
132 spraying, single leaf gas exchange readings were taken on VG and C vines at varying intervals
133 until harvest in the morning hours (1000-1100 hr) of clear days using a portable, open system,
134 LCA-3 infrared gas analyzer (ADC Bio Scientific Ltd, Herts, UK). The system featured a broad
135 leaf chamber having a 6.25 cm² window and all readings were taken at ambient relative humidity
136 with an air flow adjusted to 350 mL min⁻¹. Twelve primary leaves per treatment (three replicates
137 per block) were chosen at nodes 8-10 above the distal bunch and sampled under saturating light

138 photosynthetic active radiation ($PAR > 1400 \mu\text{mol photons m}^{-2} \text{s}^{-1}$). Assimilation rate (A),
139 transpiration rate (E), stomatal conductance (g_s) and substomatal CO_2 concentration (C_i) were
140 calculated from inlet and outlet CO_2 and H_2O concentrations. Intrinsic water use efficiency
141 (WUEi) was then derived as the A to g_s ratio. On the same leaves used for the gas-exchange
142 readings, temperature was also measured using an infrared thermometer (Mod. TM909L9, Assi-
143 control, Italy).

144 To highlight a possible instability of the photochemical apparatus, chlorophyll fluorescence
145 was measured between 1100 and 1200 hr of August 16 (a day with very low assimilation rate in
146 VG treated vines) with a lightweight portable continuous excitation fluorometer (Handy-PEA,
147 Hansatech Inst. Ltd., Norfolk, UK). These measurements were performed on the same leaves
148 sampled for gas exchange with the addition of lateral leaves from the same shoots (twelve per
149 treatment, three replicates per block, taken in the middle part of lateral shoots). Dark adaptation
150 was achieved by covering the sample area to be analyzed with a small, lightweight leaf clip for at
151 least 30 minutes. The small shutter plate of the clip was then opened and the dark-adapted leaf
152 tissue exposed to an actinic light flash (wavelength of 650 nm, intensity $> 3000 \mu\text{mol m}^{-2} \text{s}^{-1}$).
153 The instrument provides the F_v/F_m ratio, which is a widely accepted indicator of the maximum
154 photochemical efficiency of photosystem II (PSII), where F_m is the fluorescence maximum over
155 the induction curve. F_v , termed variable fluorescence, was calculated as the difference between
156 F_m and F_o , where F_o is the ground fluorescence (Strasser *et al.* 1995). The area above the
157 fluorescence curve between F_o and F_m (Area), which indicates the pool size of plastoquinone on
158 the reducing size of PSII, was also automatically calculated.

159 **Yield component and grape composition.** In 2010 and 2011, beginning from the VG
160 treatment until harvest, total soluble solids (Brix) was periodically assessed on 180-berry
161 samples (four samples of 45 berries per treatment and measurement date, one replicate per block)
162 using a temperature-compensating refractometer (RX-5000 Atago-Co Ltd, Tokyo, Japan). The
163 rate of Brix accumulation/day, was also calculated.

164 Harvest dates were 27 September in 2010 and 14 September in 2011. Grapes from 50
165 experimental vines per treatment were individually picked and the number of clusters per vine
166 and the crop weight were recorded, and the average cluster weight calculated. Each year, four
167 samples of 300 berries per treatment (one replicate per block) were randomly collected and their
168 fresh weight was recorded. After crushing, Brix, titratable acidity and pH for each sample were
169 analysed. Titratable acidity was measured with a Titrex Universal Potentiometric Titrator
170 (Steroglass S.r.l., Perugia, Italy), titrating with 0.1 N NaOH to an end point of pH 8.2. Results
171 were expressed as g/L of tartaric acid equivalent. Must pH was measured using a PHM82
172 standard pHmeter (Radiometer, Copenhagen, Denmark). Anthocyanin and phenolic contents
173 (expressed as mg/cm² skin) were determined on berry skins according to Ough and Amerine
174 (1980) and Slinkard and Singleton (1977), respectively. From each treatment, twenty 10-mm
175 diameter disks of the grape skin (five replicates per each block) were cut and carefully separated
176 from the flesh. Disks were taken from the external, mid portion of well exposed clusters. Each
177 skin disk (0.785 cm²) was macerated in 25 mL of methanol containing 0.1% HCl (v/v) at pH 1
178 and incubated at room temperature (about 25 °C) for 24 h in the dark with periodic shaking. The
179 total anthocyanin content was determined by measuring the absorbance at 520 nm, without
180 filtration or centrifugation and with no correction for background absorbance, at pH 1 using an

181 extinction coefficient (molar absorbance value) of 28,000 and molecular weight of 529 (typical
182 of malvidin-3-glucoside). Total soluble phenols were assayed as follows: to each 0.2 mL sample,
183 1.8 mL of distilled water (diluted to contain 0 to 250 mg/L gallic acid equivalent) was added and
184 then followed by 10 mL of 10% aqueous Folin-Ciocalteu reagent (Sigma) and 8 mL of 7.5%
185 (w/v) aqueous Na₂CO₃. The mixture was held at 24 °C and after 2 h the absorbance was read at
186 750 nm and compared to a gallic acid standard curve. Yeast assimilable nitrogen (YAN) content,
187 including ammonium salts and *α*-amino acids, was estimated according to Masneuf and
188 Dubourdieu (1999). This method is based on the reaction of formaldehyde with amino functions.

189 **Microvinification and wine analysis.** In 2010 and 2011, wines were made using
190 microvinification techniques. At harvest, grapes from 120 VG treated and 120 C vines were
191 harvested manually and transported to the experimental winery in 20-kg plastic boxes. For each
192 treatment, the total harvested grape mass was divided into two lots, each weighing about 150 kg.
193 Each lot was mechanically crushed, destemmed, transferred to 100-L stainless-steel fermentation
194 containers, sulfited with 35 mg/L of SO₂, and inoculated with 35 mg/L of a commercial yeast
195 strain (Lalvin EC-1118, Lallemand Inc., Ontario, Canada). Wines were fermented for 16 to 18
196 days on the skin and punched down twice daily, with the fermentation temperature ranging from
197 20 to 27°C. After alcoholic fermentation, the wines were pressed at 0 Brix and inoculated with
198 30 mg/L of *Oenococcus oenii* (Lalvin Elios 1 MBR, Lallemand Inc., Ontario, Canada). After
199 completion of malolactic fermentation, the samples were racked and transferred to 60-L steel
200 containers and 25 mg/L of SO₂ was added. Two months later, the wines were racked again,
201 bottled into 750-mL bottles then closed with cork stoppers. After eight months, the wines were
202 analyzed for alcohol, titratable acidity and pH (Iland et al. 1993). Wine color intensity

203 (OD₄₂₀+OD₅₂₀), color hue (OD₄₂₀/OD₅₂₀) and total phenol and anthocyanin concentrations were
204 determined with a spectrophotometer. Total phenols were quantified according to Ribéreau-
205 Gayon (1970) by measuring the absorbance at 280 nm of wine diluted 1:100 with distilled water.
206 Anthocyanins were analysed as reported by Ribéreau-Gayon and Stonestreet (1965). All
207 determinations were carried out in duplicate, yielding four replicates per treatment.

208 **Carbohydrates and nitrogen storage in permanent vine organs.** At the end of December
209 2010 and 2011 the soluble sugars and starch concentrations in canes (node 3) and roots (fine
210 brown with 1.5 ± 0.2 mm diameter taken at 10 to 20 cm soil depths) were determined on six
211 replicates per treatment according to a colorimetric method (Loewus 1952) using the anthrone
212 reagent (Merck, Darmstadt, Germany). Absorbance readings at 620 nm wavelength were
213 performed on a Jasco V-630 spectrophotometer (Tokyo, Japan). On the same material, total
214 nitrogen concentration was also determined using a Kjeldahl method.

215 **Statistical analysis.** Two-way analysis of variance (ANOVA) was used to assess treatment
216 and year effects on yield components, grape and wine composition, and reserves storage in canes
217 and roots using the SigmaStat 3.5 software package (Systat Software, Inc. San Jose, CA, USA).
218 Mean separation was performed by Student-Newman-Keuls test ($P \leq 0.05$). Unless a significant
219 year × VG treatment interaction occurred, values are presented as means pooled over years.
220 Seasonal evolution of gas-exchange parameters, chlorophyll fluorescence and soluble solids are
221 shown as means ± standard error.

222

223

224

Results

225 Heat accumulation expressed as growing degree days (GDD, base 10 °C) from April 1st to
226 September 30th was quite similar in 2010 and 2011, with 1770 and 1849 GDD, respectively. The
227 rainfall summation over the same period was lower in 2011 (232 vs 366 mm in 2010). In both
228 years, no visual symptoms of water stress or significant leaf yellowing were observed and no
229 new leaves developed from neither primary nor lateral shoots after applying the treatment.

230 One week after VG treatment, the sprayed Sangiovese leaves showed a large reduction in leaf
231 assimilation (A) and transpiration rate (E) (Figure 1B and 1C) followed by a rapid recovery of A
232 and E to levels similar to those of C vines. The rapid recovery was probably the result of heavy
233 rain recorded on 16 and 17 August (42 and 36 mm of rain, respectively) (Figure 1A). After the
234 second VG application, A and E rates decreased again sharply demonstrating the effectiveness of
235 VG in rapidly reducing stomatal opening upon treatment. Thereafter the capacity for carbon gain
236 of VG treated leaves remained limited for a period of four weeks until harvest, when A again
237 converged toward levels seen in C vines. Conversely, at harvest, sprayed leaves still had a
238 significantly E than leaves of C vines (Figure 1B). The depression of E after VG application
239 resulted in a significant increase of WUE_i in sprayed relative to a C vines and was of similar
240 duration, suggesting a lower loss of water in treated relative to C vines while both achieved a
241 similar carbon gain (Figure 1C). Moreover, leaf temperature was not significantly modified by
242 the VP treatment within the 1000 – 1100 hr time window (Figure 1B).

243 In regard to chlorophyll fluorescence parameters, Fv/Fm ratio measured in both primary and
244 lateral leaves did not show any difference between treatments (Figure 2); whereas the area

245 parameter, which defines the pool size of plastoquinone, the primary electron acceptor on the
246 reducing side of PSII, showed a significant reduction in VG-treated primary and lateral leaves.

247 Regardless of year, VG applied post veraison above the cluster zone affected neither yield per
248 vine nor average cluster and berry weight (Table 1). Similarly, no statistical difference was found
249 in total acidity, must pH, total phenolics, and YAN between treatments, whereas in the VG-
250 treated vines, Brix and the anthocyanin content were significantly reduced by about 1.2 Brix and
251 19%, respectively, as compared to C vines.

252 Dynamics of berry Brix showed that, regardless of season, accumulation slowed about 10
253 days after VG treatment (Figure 3). Berry fresh weight for VG treated vines did not change as
254 compared to C vines in either year. The reduction in Brix found in VG-treated vines seems
255 linked to impaired canopy photosynthetic capacity and/or limitation in sugar translocation from
256 leaves to berries. Between VG application and harvest, the rate of Brix accumulation in the
257 berries was, in fact, lowered from 0.31 Brix/day in C vines to 0.27 Brix/day in VG-treated vines
258 in 2010 and from 0.29 Brix/day in the C vines to 0.23 Brix/day in VG-treated vines (Figure 3).
259 At 2010 harvest, a reduction of 33 mg of soluble solids per berry was assessed in VG-treated
260 vines compared to C vines, while in 2011 this limitation was equal to about 20 mg/berry.

261 Wines made from grapes of VG-treated vines after one year of aging had a 1% lower alcohol
262 content than wines made from grapes of C vines, while total acidity, pH, total dry extract and
263 phenolics including tannins were similar (Table 2). The concentration of anthocyanin was
264 instead significantly reduced in the VG-treated wines (-15%), consequently the chromatic
265 intensity of the wines was lowered, but without measurable variation in the color hue.

266 Samples taken at the end of December, and analyzed for the alcohol-soluble sugars, starch
267 and total nitrogen stored in the stems and roots, showed no concentration differences between
268 treatments and years (Table 3).

269

270

Discussion

271 The antitranspirant VG applied at post veraison on the most functional leaves, namely fully
272 expanded median and apical leaves from either primary and lateral shoots located above the
273 cluster zone, significantly lowered the leaf assimilation and transpiration rates and optimized
274 WUEi. Though, in 2010, heavy rain occurring soon after the first treatment likely caused
275 premature wash off of the chemical and the spray had to be repeated 10 days later. As the
276 reduction of stomatal conductance (g_s), A and E rates following VG spraying was accompanied
277 by a marked reduction (from 60% to 70% as compared to leaves of C vines) of substomatal CO₂
278 concentration (182 to 218 ppm in control leaves versus values ranging from 112 to 165 ppm in
279 VG treated leaves), it is apparent that this behavior was linked to some physical impairment of
280 stomatal opening and function. The fact that the film-forming VG exerts a physical barrier to gas
281 exchange, thus hampering the CO₂ entering the stomata and the water vapor leaving the stomata,
282 was found almost 40 years ago on *Vicia faba* by Davenport et al. (1972), who also noted that
283 under the transparent film the stomata were more open. Scanning electron micrographs on bean
284 plants (Iriti et al. 2009) confirmed these results. Moreover, in peach, Davenport has reported that
285 midday leaf water potential increased after an antitranspirant application as compared to
286 unsprayed plants. Thus, maintenance of high moisture of the leaf tissue in conjunction with
287 possible effects of light reflectance might explain why treated leaves did not heat up significantly

288 in agreement with what it was found in a tropical plant using the same compound (Moftah and
289 Al-Humaid 2005). It has to be pointed out that, in terms of light reflectance, VG behaves
290 differently as compared to kaolin-based foliar reflectants which have proven to cause a
291 significant reduction of leaf and/or berry temperature (Moftah and Al-Humaid 2005, Rosati
292 2007, Shellie and King, 2013) especially under limiting water supply.

293 At the same time, the Fv/Fm ratio was not modified emphasizing that photoinhibition did not
294 occur at the PSII complex, while the observed reduction of the plastoquinone pool size
295 complements a parallel reduction of the capacity of VG-treated vines to fix CO₂.

296 The significant improvement of intrinsic WUE_i, extending from the time of VG application
297 until the final stage of ripening, indicates a lower water loss through stomata for a similar carbon
298 gain. This behavior occurred because the limitation in stomatal conductance of H₂O was
299 proportionally higher than the depression of its assimilation rate.

300 A significant source limitation following VG spraying has been previously assessed in
301 different species (Iriti et al. 2009, Francini et al. 2011) including the grapevine (Palliotti et al.
302 2010) and, quite remarkably, the above source limitation is reached without modifying neither
303 the vine leaf-to-fruit ratio nor the cluster microclimate during ripening. This strategy of canopy
304 management, applied late in the season, has been effective in reducing the pace of sugar
305 accumulation in the berry, as compared to control vines, scoring a -1.2 Brix at harvest and
306 lowering the alcohol content in the resulting wines by -1% vol. It can be recommended as a
307 valuable cultural practice in viticultural areas where berry ripening takes place early during the
308 hottest part of the season. In such a context, maturation is often associated with hot periods
309 leading to an accelerated ripening process; pH and sugar concentration rise too high, yet doing so

310 with a still unfinished or atypical phenolic and aromatic profile requiring grapes to hang longer
311 on the canopy. For red grape cultivars, a premature harvest cannot, obviously, be proposed. Poor
312 phenolic and aromatic maturity would increase the likelihood of higher extractability of
313 proanthocyanidins from seeds, which, in turn, would lead to wines with excessive grassy and
314 bitter tastes. In the absence of atypical phenolics, grassy flavours, bitter tastes, and unusual
315 aromatic compounds in berries and wines we can state that the VG treatment does not produce
316 effects similar to those of “premature harvest”.

317 The removal of basal leaves is a common practice used to improve grape composition and
318 health. In fact, Hunter et al. (1991) reported improvements of anthocyanin content in the berry
319 and in wine quality after late defoliation. While high temperatures tend to accelerate grape
320 ripening, too much heat leads to symptoms of berry shrivelling and sunburn, through excessive
321 water loss and protein denaturation, respectively, as well as impairment of grape and wine color,
322 and aromatic intensity (Lacey et al. 1991, Reynolds and Wardle 1993, Spayd et al. 2002, Mori et
323 al. 2007). Therefore, in all areas where an increase of temperature during ripening is now likely,
324 basal leaf removal cannot be applied without serious risk of lowering the quality of the grapes,
325 including the aromatic potential due to a reduction of methoxypyrazine accumulation (Lacey et
326 al. 1991, Scheiner et al. 2010), as well as the accumulation of terpenes (Belancic et al. 1997).

327 The late season source limitation induced by VG treatment proved to be effective, regardless
328 of season, at delaying Brix accumulation in the berries without compromising the replenishment
329 of the concentration of reserves in storage organs. We speculate that the photosynthesis recovery
330 from just before until after harvest, has probably been sufficient to replenish the cane and root

331 reserves of soluble sugars and starch. Depending on weather conditions, in central Italy, leaves
332 can retain a good photosynthetic rate up to 60-70 days after harvest.

333 Notably, the reduction of Brix accumulation in the berry achieved with VG treatment took
334 place without significant detriment to the accumulation of phenolic compounds, while berry
335 pigmentation was lowered. Regarding the latter, usually anthocyanins are negatively influenced
336 by high temperature and over-heating (Spayd et al. 2002). A recent paper from Kotseridis et al.
337 (2012) has shown that, in cv. Sangiovese, color accumulation was least when full leaf removal
338 was applied, while it improved when some leaf cover around the clusters was maintained. Our
339 experimental approach did not alter the microclimate around the fruiting zone since no leaves
340 were removed. Consequently, the reduction of color may be linked to a down-regulation of the
341 expression of genes involved in the synthesis of phenylalanine-ammonia-lyase (PAL), a key
342 enzyme engaged in phenylpropanoid and flavonoid biosynthetic pathways, following a strong
343 reduction of the source:sink ratio after VG application. Recently, Pastore et al. (2011) found that
344 this enzyme as well as the galactinol synthase, an important regulator of carbon partitioning,
345 were strongly up-regulated after applying a cluster thinning treatment, which caused a sharp
346 increase in the source:sink balance. On the other hand, Pirie and Mullins (1974) found that in red
347 grapes the sugar content could regulate the synthesis and accumulation of anthocyanins and,
348 likewise, Roubelakis-Angelakis and Kliewer (1986) and Vitrac et al. (2000) reported an increase
349 of PAL activity and accumulation of anthocyanins after treatments with sucrose and other sugars.

350 Moreover, since the stomata under the film formed by VG application remain open
351 (Davenport et al. 1972, Iriti et al. 2009), it is conceivable that the turgor of fruit cells remains
352 high and this may cause a decrease in sugar influx and ABA, which, in turn, could deactivate the

353 expression of sugar transporters and anthocyanin pathway genes. In grapes it has been
354 demonstrated that exogenous ABA application increased the expression of genes coding for
355 anthocyanin synthesizing enzymes (Jeong et al. 2004) and activated invertase enhancing the
356 accumulation of glucose and fructose (Pan et al. 2005). Iriti et al. (2009) reported a drastic
357 reduction of ABA in VG treated as compared to untreated bean leaves (0.058 vs 0.218 mg/g).

358 The reduction of anthocyanins assessed in VG-treated vines is certainly undesirable if the
359 wines are intended for aging, but would be acceptable for young wines, rosé wines, or base
360 wines to be used for blending with dark colored wines. Indeed, Sangiovese is used to produce top
361 wines such as Brunello di Montalcino, Nobile di Montepulciano and Chianti, but is also widely
362 used for the production of light table wines, where a loss of 15-20% grape anthocyanins is not a
363 problem. In cultivar naturally rich in extractable anthocyanins (> 1 g/kg), such as Teroldego,
364 Lagrein, Enantio, Rebo, Marzemino, Croatina, Syrah, Merlot, Montepulciano, etc., a 15-20%
365 loss of anthocyanins is quite sustainable.

366
367

Conclusion

368 The application of the organic film-forming antitranspirant, Vapor Gard, to cv. Sangiovese
369 vines post veraison and above the cluster zone is a suitable strategy to delay ripening in the berry
370 as compared to non-treated vines. The technique proved to be effective and easy to apply method
371 to hinder berry sugaring and to obtain lower alcohol wines. Concurrently, apart from the 15-20%
372 loss of anthocyanins, this technique had no other negative impact on phenolic compounds,
373 organic acids, or pH in grape and wines, nor on the replenishment of the concentration of
374 carbohydrates in canes and roots under the conditions of this trial. To be effective in reducing the
375 accumulation of total soluble solids in the berries, the Vapor Gard emulsion should be applied

376 above the cluster zone at approximately 14-15 Brix and should completely wet the lower leaf
377 surface where stomata are located.

378
379

Literature Cited

- 380 Belancic, A., E., Agosin, A. Ibacache, E. Bordeu, R. Baumes, A. Razungles, and C. Bayonone.
381 1997. Influence of sun exposure on the aromatic composition of Chilean Muscat grape cultivars
382 Moscatel de Alejandria and Moscatel rosada. *Am. J. Enol. Vitic.* 48:181-186.
- 383 Davenport, D.C., M.A. Fisher, and R.M. Hagan. 1972. Some counteractive effects of
384 antitranspirant. *Plant Physiol.* 49:722-724.
- 385 Fisher, U., and A.A. Noble. 1994. The effect of ethanol, catechin concentration, and pH on
386 sourness and bitterness of wine. *Am. J. Enol. Vitic.* 45:6-10.
- 387 Francini, A., G. Lorenzini, and C. Nali. 2011. The antitranspirant di-1-*p*-menthene, a potential
388 chemical protectant of ozone damage to plants. *Water Air Soil Pollut.* 219:459-472.
- 389 Gale, J., and A. Poljakoff-Mayber. 1967. Plastic films on plants as antitranspirants. *Science*
390 156:650-652.
- 391 Gladstone, J. 1992. *Viticulture and Environment*. Winetitles, Adelaide, Australia.
- 392 Hunter, J.J., O.T. de Villers, and J.E. Watts. 1991. The effect of partial defoliation on quality
393 characteristics of *Vitis vinifera* L. cv. Cabernet Sauvignon grapes. II. Skin color, skin sugar, and
394 wine quality. *Am. J. Enol. Vitic.* 42:13-18.
- 395 Jeong, S.T., N. Goto-Yamamoto, S. Kobayashi, and M. Esaka. 2004. Effects of plant hormones
396 and shading on the accumulation of anthocyanins and the expression of anthocyanin
397 biosynthetic genes in grape berry skins. *Plant Sci.* 167:247-252.
- 398 Jones, G.V., and E. Hellman. 2003. Site Assessment. In "Oregon Viticulture", Hellman E. (Ed.),
399 5th Edition, Oregon state University Press, Corvallis, Oregon, pp 44-50.
- 400 Jones, G.V., M.A. White, O.R. Cooper, and K. Storchmann. 2005. Climate change and global
401 wine quality. *Clim. Chan.* 73:319-343.
- 402 Jones, G.V. 2007. Climate change: observations, projections, and general implications for
403 viticulture and wine production. In Whitman College Economic Department. E. Essick, P.
404 Griffin, B. Keefer, S. Miller, K. Storchmann (eds.). Working paper No. 7.
- 405 Keller, M. 2010. Managing grapevines to optimize fruit development in a challenging
406 environment: a climate change primer for viticulturist. *Austr. J. Grape Wine Res.* 16:56-69.
- 407 Kotseridis, Y., A. Georgiadou, P. Tikos, S. Kallithraka, and S. Koundouras. 2012. Effects of
408 post-flowering leaf removal on berry growth and composition of three red *Vitis vinifera* L.
409 cultivars grown under semiarid conditions. *J. Agric Food Chem.* 60:6000-6010.

- 410 Iland, P.G., A.J.W. Ewart, and J.H. Sitters. 1993. Techniques for Chemical Analysis and
411 Stability Tests of Grape Juice and Wine. Kitchener Press, Adelaide.
- 412 Iriti, M., V. Picchi, M. Rossoni, S. Gomarasca, N. Ludwig, M. Gargano, and F. Faoro. 2009.
413 Chitosan antitranspirant activity is due to abscisic acid-dependent stomatal closure. Environ.
414 Exp. Bot. 66:493-500.
- 415 Iriti, M., S. Vitalini, G. Di Tommaso, S. D'Amico, M. Borgo, and F. Faoro. 2011. New chitosan
416 formulation prevents grapevine powdery mildew infection and improves polyphenol content
417 and free radical scavenging activity of grape and wine. Aust. J. Grape Wine Res. 17:263-269.
- 418 Lacey, M.J., M.S. Allen, R.L.N. Harris, and W.V. Brown. 1991. Methoxypyrazines in Sauvignon
419 blanc grapes and wines. Am. J. Enol. Vitic. 42:103-108.
- 420 Loewus, F.A. 1952. Improvement in anthrone method for determination of carbohydrates. Anal.
421 Chem. 24:219.
- 422 Martin, S., and R.M. Pangborn. 1970. Taste interaction of ethyl alcohol with sweet, salty, sour
423 and bitter compounds. J. Sci. Food Agric. 21:653-655.
- 424 Masneuf, I., and D. Dubourdieu. 1999. L'azote assimilable: intérêt de son dosage par
425 formol titration; étude de quelques paramètres à l'origine des variations de sa teneur dans les
426 moûts. Rev. Fr. Enol. 93:31.
- 427 Moftah, A.E. and A.R.I. Al-Humaid. 2005. Effects of antitranspirants on water relations and
428 photosynthetic rate of cultivated tropical plant (*Polianthes tuberosa* L.). Pol. J. Ecol. 53:165-
429 175.
- 430 Mori, K., N. Goto-Yamamoto, M. Kitayama, and K. Hashizume. 2007. Loss of anthocyanins in
431 red-wine under high temperature. J. Exp. Bot. 58:1935-1945.
- 432 Ough, C.S., and M.A. Amerine. 1980. Grape pigments. In "Methods for analysis of musts and
433 wines". John Wiley & Sons, New York, pp. 206-212.
- 434 Palliotti, A., S. Poni, J.G. Berrios, and F. Bernizzoni. 2010. Vine performance and grape
435 composition as affected by early-source limitation induced with anti-transpirants in two red
436 *Vitis vinifera* L. cultivars. Aust. J. Grape Wine Res. 16:426-433.
- 437 Palliotti, A., O. Silvestroni, F. Leoni, and S. Poni. 2012. Maturazione dell'uva e gestione della
438 chioma in *Vitis vinifera*: processi e tecniche da riconsiderare in funzione del cambiamento del
439 clima e delle nuove esigenze del mercato. Italus Hortus 19:1-15.
- 440 Palliotti, A., F. Panara, O. Silvestroni, V. Lanari, P. Sabbatini, G.S. Howell, M. Gatti, and S.
441 Poni. 2013. Influence of mechanical post-veraison leaf removal apical to the cluster zone on
442 delay of fruit ripening in Sangiovese (*Vitis vinifera* L.) grapevines. Aust. J. Grape Wine Res.
443 (in press).
- 444 Pastore, C., S. Zenoni, G.B. Tornielli, G. Allegro, S. Dal Santo, G. Valentini, C. Intrieri, M.
445 Pezzotti, and I. Filippetti. 2011. Increasing the source/sink ratio in *Vitis vinifera* (cv
446 Sangiovese) induces extensive transcriptome reprogramming and modifies berry ripening.
447 BMC Genomics 12:631-654.

- 448 Pirie, A., and M.G. Mullins. 1976. Changes in anthocyanin and phenolic content of grapevine
449 leaf and fruit tissues treated with sucrose, nitrate, and abscisic acid. *Plant Physiol.* 58:468-472.
- 450 Reynolds, A.G., and D.A. Wardle. 1993. Significance of viticultural and enological practices on
451 monoterpene flavorants of British Columbia-grown *Vitis vinifera* berries and juices. *Wein*
452 *Wissen.* 48:194-202.
- 453 Ribéreau-Gayon, P., and E. Stonestreet. 1965. Le dosage des anthocyanes dans le vin rouge.
454 *Bull. Soc. Chim. Fr.* 9 :2649-2652.
- 455 Ribéreau-Gayon, P. 1970. Les dosage des composés phénoliques totaux dans le vins rouge.
456 *Chimie Anal.* 52:627-631.
- 457 Rosati, A. 2007. Physiological effects of kaolin particle film technology: A review. *Funct. Plant*
458 *Sci. Biotech.* 1:100-105.
- 459 Roubelakis-Angelakis, K.A., and W.M. Kliever. 1986. Effects of exogenous factors on
460 phenylalanine ammonia lyase activity and accumulation of anthocyanins and total phenolics in
461 grape berries. *Am. J. Enol. Vitic.* 37:275-280.
- 462 Scheiner, J.J., G.L. Sacks, B. Pan, S. Ennhli, L. Tarlton, A. Wise, S.D. Lerch, and J.E. Vanden
463 Heuvel. 2010. Impact of severity and timing of basal leaf removal on 3-isobutyl-2-
464 methoxypyrazine concentration in red winegrapes. *Am. J. Enol. Vitic.* 61:358-364.
- 465 Schultz, H.R. 2000. Climate changes and viticulture: A European perspective on climatology,
466 carbon dioxide, and UV-B effects. *Austr. J. Grape Wine Res.* 6:2-12.
- 467 Seccia, A., and G. Maggi. 2011. Futuro roseo per i vini a bassa gradazione alcolica. *L'Inf. Agr.*
468 (supp.) 13:11-14.
- 469 Shellie, K.C., and King, B.A. 2013. Kaolin-based foliar reflectant and water deficit influence
470 Malbec leaf and berry temperature, pigments, and photosynthesis. *Am. J. Enol. Vitic.* doi:
471 10.5344/ajev2012.12115.
- 472 Slinkard, K., and V.L. Singleton. 1977. Total phenol analysis: automation and comparison with
473 manual methods. *Am. J. Enol. Vitic.* 28:49-55.
- 474 Spayd, S.E., J.M. Tarara, D.L. Mee, and J.C. Ferguson. 2002. Separation of sunlight and
475 temperature effects on the composition of *Vitis vinifera* cv. Merlot berries. *Am. J. Enol. Vitic.*
476 53:171-182.
- 477 Strasser, R.J., A. Srivastava, and Govindjee. 1995. Polyphasic chlorophyll *a* fluorescence
478 transient in plants and cyanobacteria. *Photochem. Photobiol.* 61:32-42.
- 479 Vitrac, X., F. Larronde, S. Krisa, A. Decendit, G. Deffeux, and J.M. Merillon, J.M., 2000. Sugar
480 sensing and Ca²⁺-calmodulin requirement in *Vitis vinifera* cells producing anthocyanins.
481 *Phytochemistry* 53:659-665.
- 482 Zelitch, I. 1969. Stomatal control. *Annu. Rev. Plant Physiol.* 20:329-350.
- 483
- 484

Table 1 Yield components and grape composition recorded at harvest in Sangiovese vines treated in post veraison with antitranspirant Vapor Gard (VG) or control (C). Data averaged over treatments and years in the absence of significant interactions.

Parameter	Treatment		Sig. ^a	Year		Sig. ^a
	C	VG		2010	2011	
Nodes retained (n°/vine)	9.3	9.9	ns	9.6	9.5	ns
Clusters (n°/vine)	10.0	10.5	ns	11.0	9.8	ns
Yield/vine (kg)	3.21	3.16	ns	3.34	3.12	ns
Cluster weight (g)	324	305	ns	306	318	ns
Berry weight (g)	2.32	2.29	ns	2.19	2.37	ns
Total soluble solids (°Brix)	24.0 a	22.8 b	*	22.8	23.3	ns
Titrateable acidity (g/L)	6.5	6.2	ns	6.5	6.3	ns
Must pH	3.37	3.34	ns	3.28	3.36	ns
Anthocyanins (mg/cm ² skin)	0.381a	0.308 b	*	0.345	0.325	ns
Total phenols (mg/cm ² skin)	0.775	0.698	ns	0.751	0.714	ns
YAN (mg/L) ^b	124	123	ns	138	109	ns

^aMeans within rows denoted by different superscript letters are significantly different by the Student-Newman-Keuls test. *, ns indicate significance at $P \leq 0.05$ or not significant, respectively.

^bYeast-assimilable nitrogen content including ammonium salts and α -amino acids.

Table 2 Wine composition recorded over 2010-2011 vintages in Sangiovese vines treated with antitranspirant Vapor Gard in post veraison (VG) or control (C). Data averaged over treatments and years in the absence of significant interactions. Wines were analyzed one year after alcoholic fermentation in both years.

Parameter	Treatment		Sig. ^a	Year		Sig. ^a
	C	VG		2010	2011	
Alcohol (% v/v)	14.3 a	13.3 b	*	13.0	13.4	ns
Titrateable acidity (g/L)	6.05	5.60	ns	6.12	6.01	ns
pH	3.47	3.56	ns	3.40	3.52	ns
Total dry extract (g/L)	22.8	21.6	ns	21.6	22.5	ns
Anthocyanins (g/L)	0.218 a	0.185 b	*	0.194	0.215	ns
Total phenolics (g/L)	1.53	1.42	ns	1.51	1.48	ns
Total tannins (g/L)	1.04	1.01	ns	1.11	1.15	ns
Color intensity (OD _{420nm} + OD _{520nm})	9.2 a	6.1 b	*	8.1	7.9	ns
Color hue (OD _{420nm} /OD _{520nm})	0.67	0.73	ns	0.68	0.71	ns

^aMeans within rows denoted by different superscript letters are significantly different by the Student-Newman-Keuls test. *, ns indicate significance at $P \leq 0.05$ or not significant, respectively.

Table 3 Cane wood and root reserves recorded in Sangiovese vines treated with antitranspirant in post veraison (VG) or control (C). Data averaged over treatment and year in the absence of significant interactions.

Parameter	Treatment		Sig. ^a	Year		Sig. ^a
	C	VG		2010	2011	
Cane wood						
Total nitrogen (% dw)	0.48	0.53	ns	0.59	0.42	ns
Alcohol-soluble sugars (mg/g dw)	229.0	213.9	ns	209.7	243.0	ns
Starch (mg/g dw)	59.0	55.8	ns	53.8	61.0	ns
Root						
Total nitrogen (% dw)	0.78	0.80	ns	0.88	0.71	ns
Alcohol-soluble sugars (mg/g dw)	120.7	132.4	ns	120.7	132.5	ns
Starch (mg/g dw)	193.2	177.8	ns	178.6	192.0	ns

^aMeans within rows denoted by different superscript letters are significantly different by the Student-Newman-Keuls test. ns indicates not significant. dw indicates dry weight.

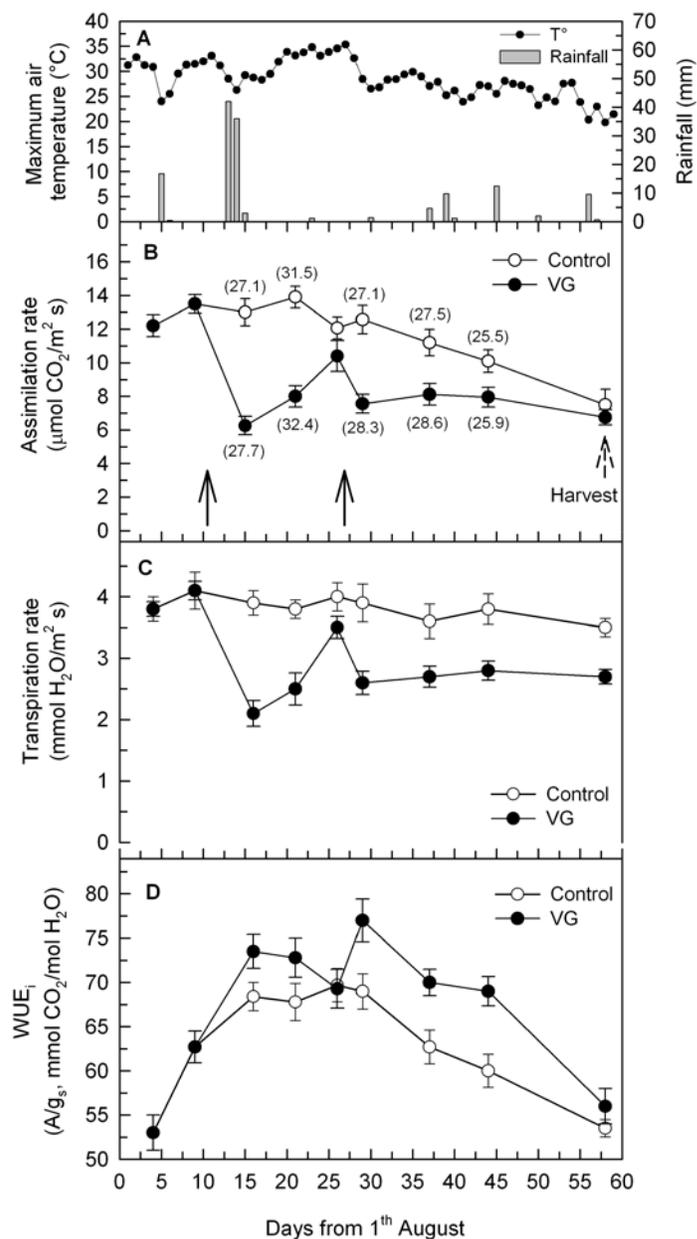


Figure 1 Seasonal trends of maximum air temperature and rainfall (A), assimilation rate (B), transpiration rate (C) and intrinsic water use efficiency (D) (WUE_i calculated as assimilation/stomatal conductance ratio) recorded in 2010 on fully expanded median Sangiovese primary leaves sprayed twice with antitranspirant Vapor Gard (VG) at 2% or untreated. Bold arrows indicate the time of VG application. Data are mean ± SE (n = 12). In frame B, values between brackets are mean leaf temperatures recorded with an infrared thermometer concurrently with gas-exchange readings.

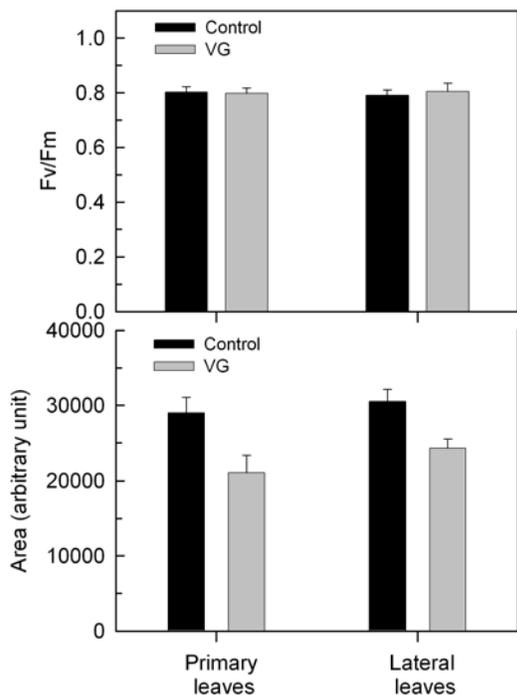


Figure 2 Maximal photochemical efficiency of PSII (Fv/Fm) and the pool size of plastoquinone on reducing size of PSII (Area) recorded in 2010 on median primary and lateral leaves of Sangiovese vines sprayed twice with antitranspirant Vapor Gard (VG) at 2% or untreated. Data are mean \pm SE (n = 20).

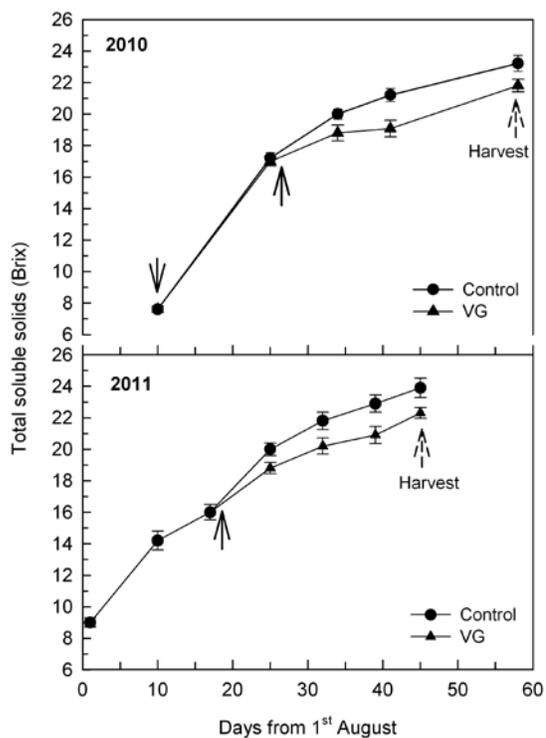


Figure 3 Seasonal trends of total soluble solids content recorded in 2010 and 2011 on Sangiovese vines treated in post-veraison with antitranspirant Vapor Gard (VG) at 2% or untreated. Data are mean \pm SE (n = 6).